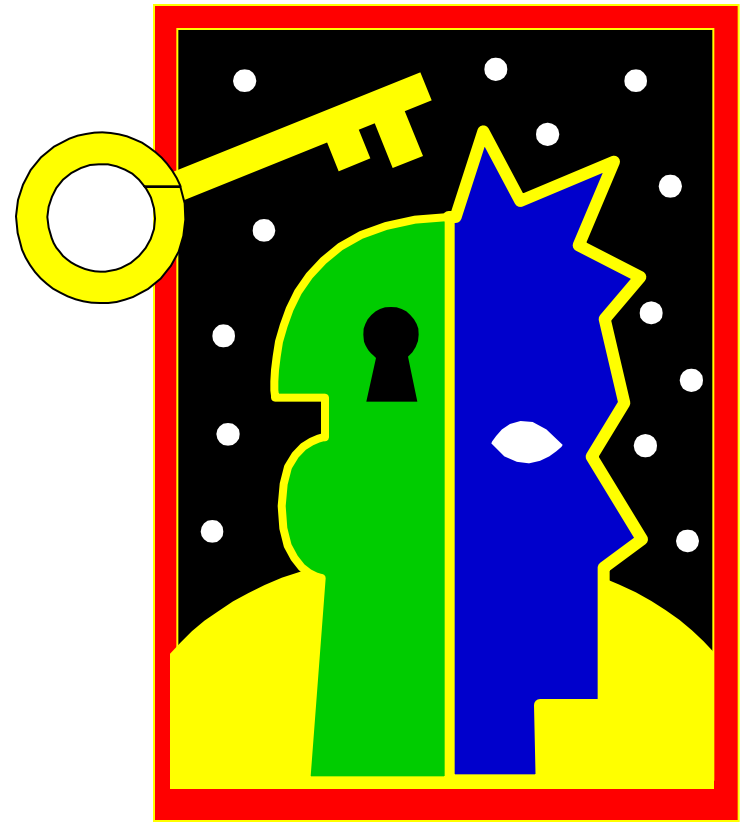


**Sporadic schizophrenia**  
**Beyond genetics...**



Dolores Malaspina, M.D., M.P.H.  
Anita Steckler & Joseph Steckler Professor of Psychiatry  
NYU-Bellevue Department of Psychiatry  
Creedmoor Psychiatric Center, NY State OMH  
Adjunct Professor of Clinical Psychiatry,  
Columbia University

# Objectives

1. Describe new knowledge about the genetic heterogeneity of schizophrenia.
2. Describe the association between advancing paternal age and risks for psychiatric diseases.
3. Explain how gene expression is regulated by epigenetic mechanisms.

**I have no conflicts of interest**

# Risk Factors for Psychosis

## ***Maternal medical conditions:***

pre-eclampsia, diabetes

## ***Prenatal Exposures:***

infection (influenza, rubella)

Malnutrition

stress (war, flood)

Rh incompatibility

Season of Birth

## ***Obstetric conditions:***

especially hypoxia

low birth weight

preterm birth

## ***Childhood / adolescence***

Cannabis

Traumatic brain injury

Trauma, loss, stress

## ***Environmental Exposure:***

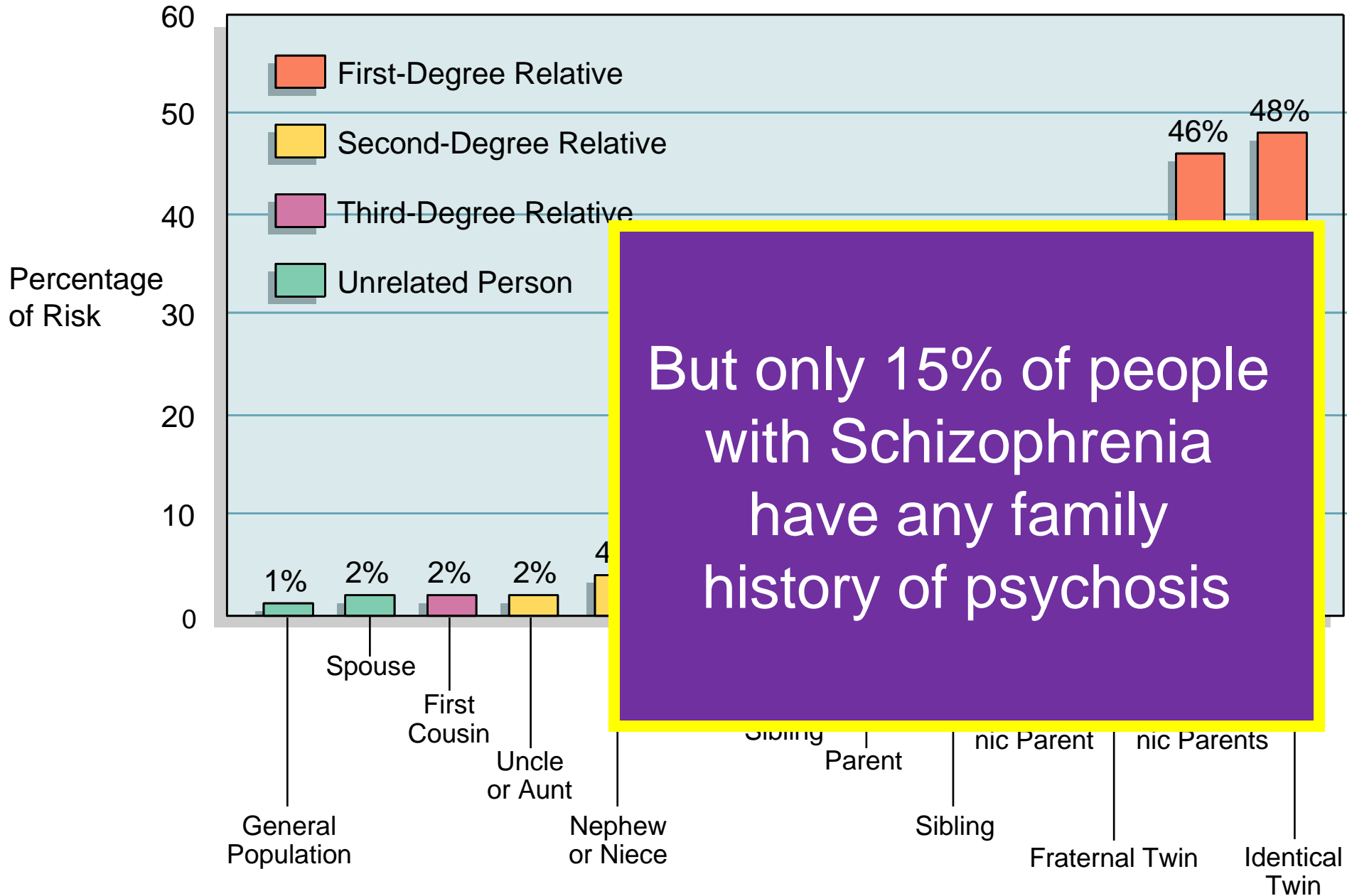
Urban birth

Migration

Lead Exposure

Dry cleaning PERC

# Family History is a Risk Factor for Psychosis



# The case of the “Missing Heritability”



*If schizophrenia is mainly genetic,  
how is it maintained in the population,  
since those with the disorder have  
far fewer children*

It was known 100 years ago that the risk for sporadic genetic diseases was increased in the offspring of older parents

*Could de novo mutations contribute  
to the risk for schizophrenia*

# Do new mutations cause schizophrenia



New mutations were proposed as a source of the disease a half century ago

The necessary mutation rates were considered to be too high to account for its prevalence.

# Most mutations arise in the male parent

As in other mammals, new mutations in humans arise in the continually replicating male germ line (Penrose, 1955).

In women, oocytes are formed before birth. There are 24 divisions, all but the last occurs during fetal life.

In men, spermatogonia divide every 16 days

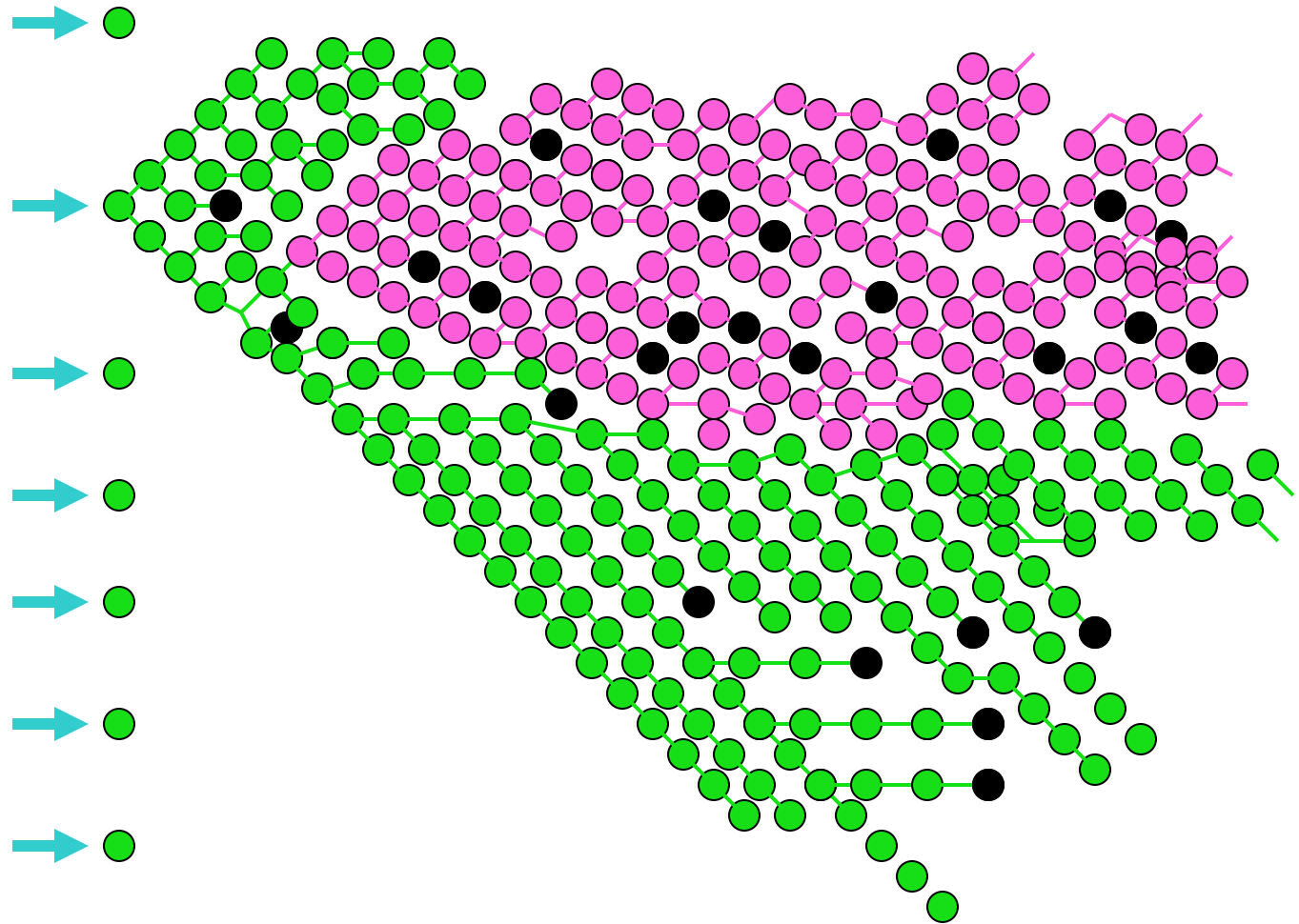
→ 200 times by age 20

→ 660 by age 40





The proportion of sperm with mutations increase with the age of the male parent



## Several groups had found older fathers or late birth order in schizophrenia patients

**(Johanson 1958; Gregory 1959; Farina 1963; C Schooler 1964; Bojanovsky & Gerylovova 1967; Hare & Moran 1979; Kinnell 1983; Bertranpetit & Fananas 1993...)**

They were interpreted as reflecting a late marriage age for psychiatrically vulnerable parents or the result of methodological difficulties.

These well replicated findings were used to support the hypothesis that maternal unavailability and family environment contributed to schizophrenia.

# Mutations from older fathers & heritable disease

## Autosomal Dominant:

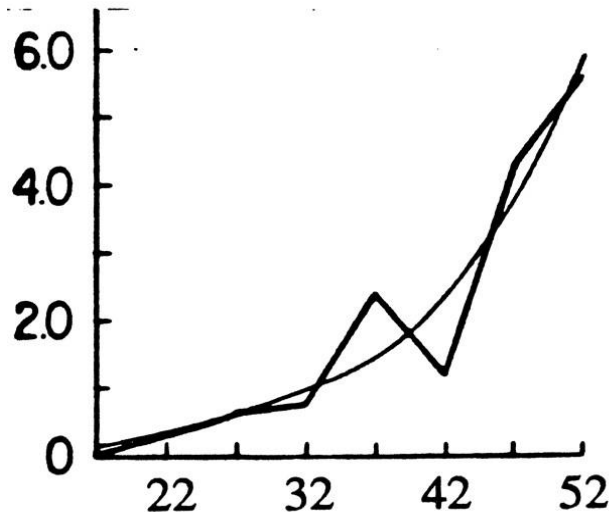
Achondroplasia, Neurofibromatosis, Marfan Syndrome, Osteogenesis Imperfecta, Apert,, Cruzen, and Pfeiffer Syndrome.....

## X-linked conditions,

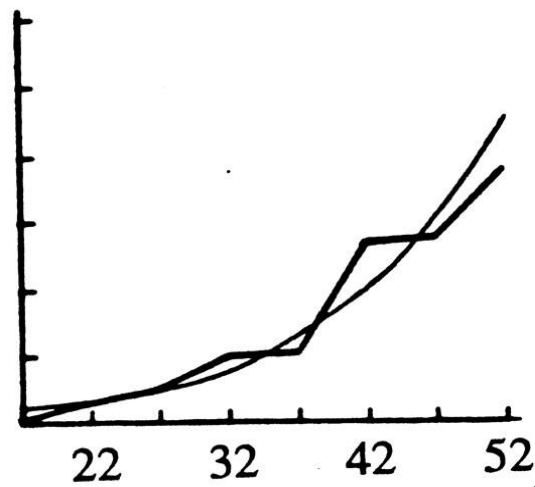
Fragile X syndrome, Hemophilia, Muscular Dystrophy...

## Complex Disorders:

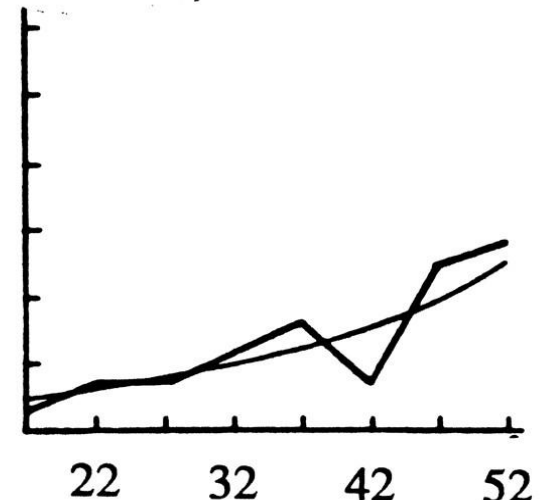
Congenital Heart Defects, Neural Tube Defects, Mental Retardation, Cerebral Palsy, Prostate Cancer, Retinoblastoma, Wilms Tumor, Renal Agenesis, Progeria, Torsion Dystonia, Alzheimers Disease...



Apert syndrome



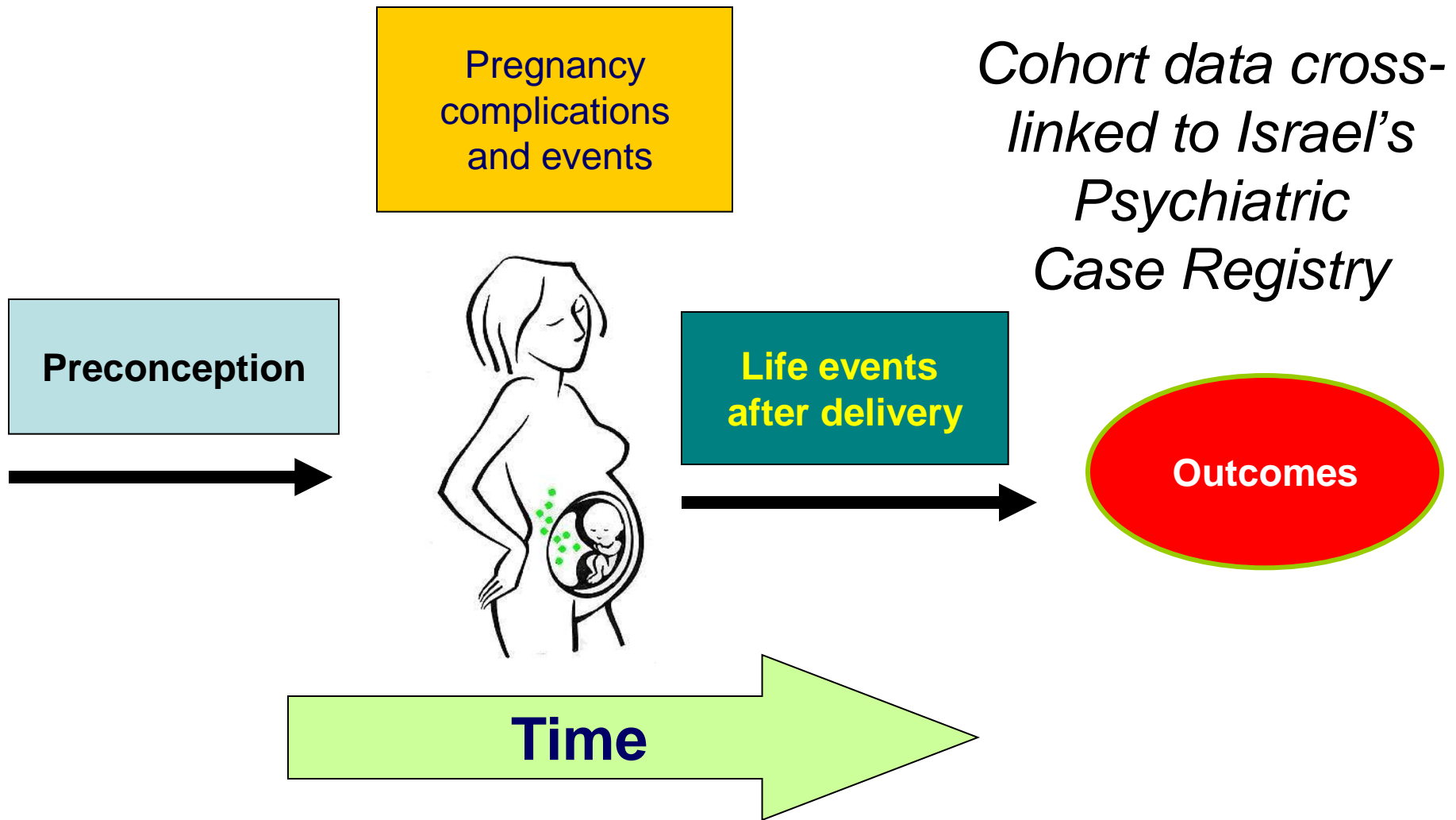
Achondroplasia



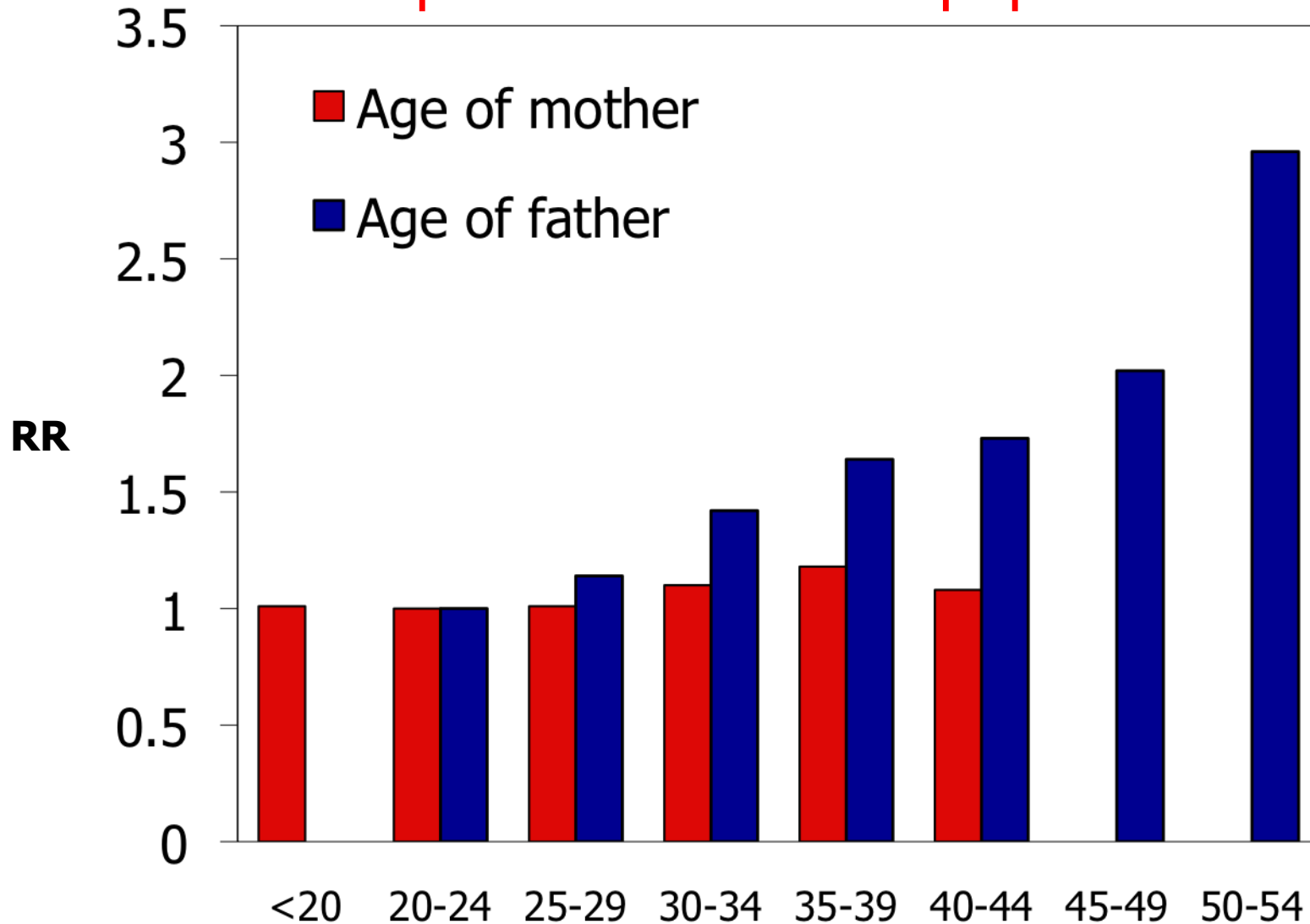
Neurofibromatosis

# Jerusalem Perinatal Cohort Study:

A prospective population birth cohort study of all ~100,000 births in Jerusalem:1964-1976



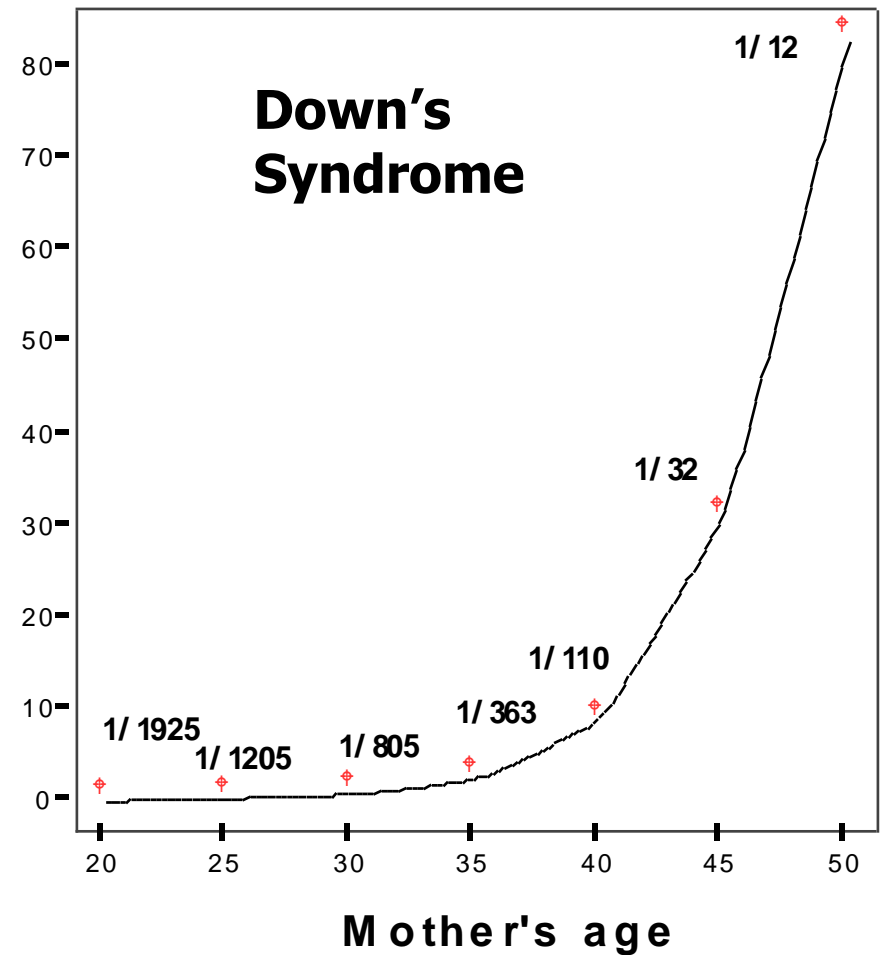
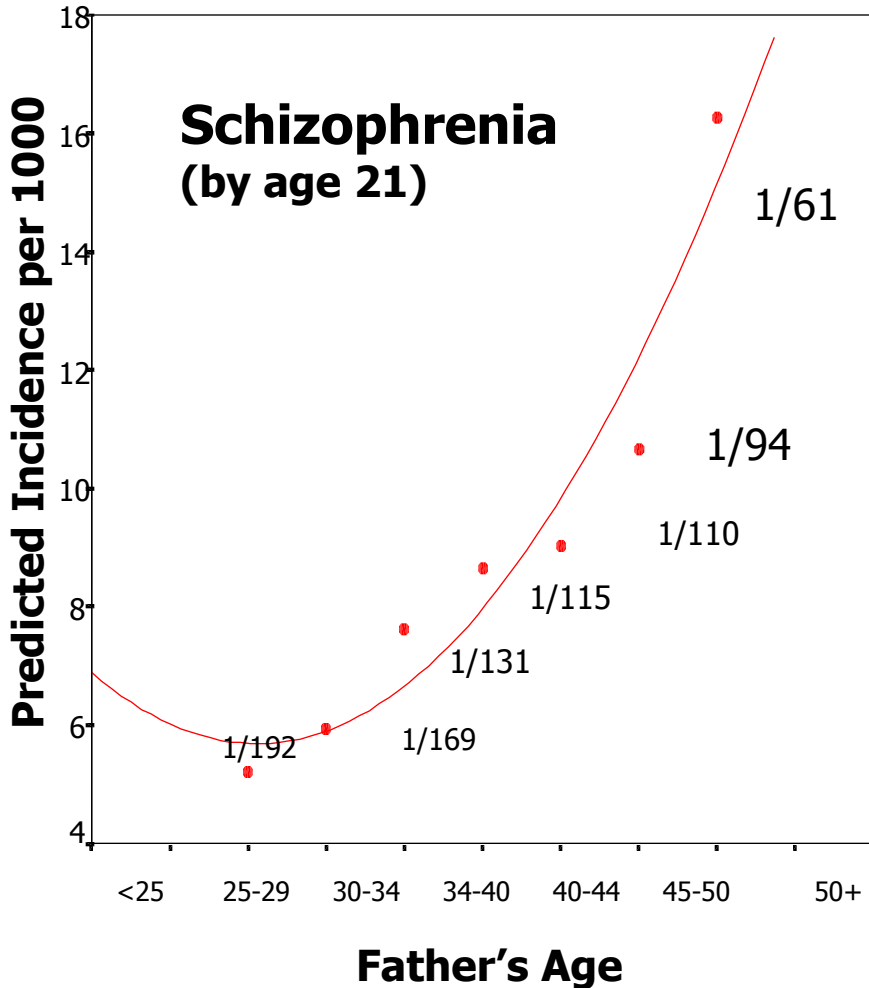
# Paternal age accounted for a quarter of schizophrenia risk in the population



Parental birth age, in years, corrected for other parent's age

*Malaspina 2001*

# Schizophrenia and Paternal Age versus Down's Syndrome and Maternal Age



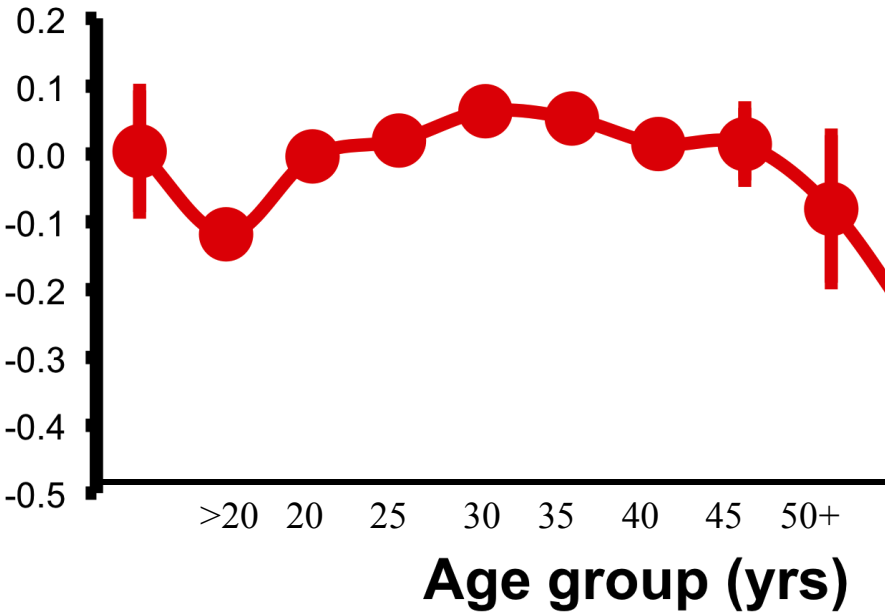
# Supportive evidence from military data on healthy adolescents, APA IQ and paternal age

- reduced performance IQ with preserved verbal IQ

IQ  
Malaspina et al 2005

- significantly lower social competency scores

scores  
Weiser et al, 2008

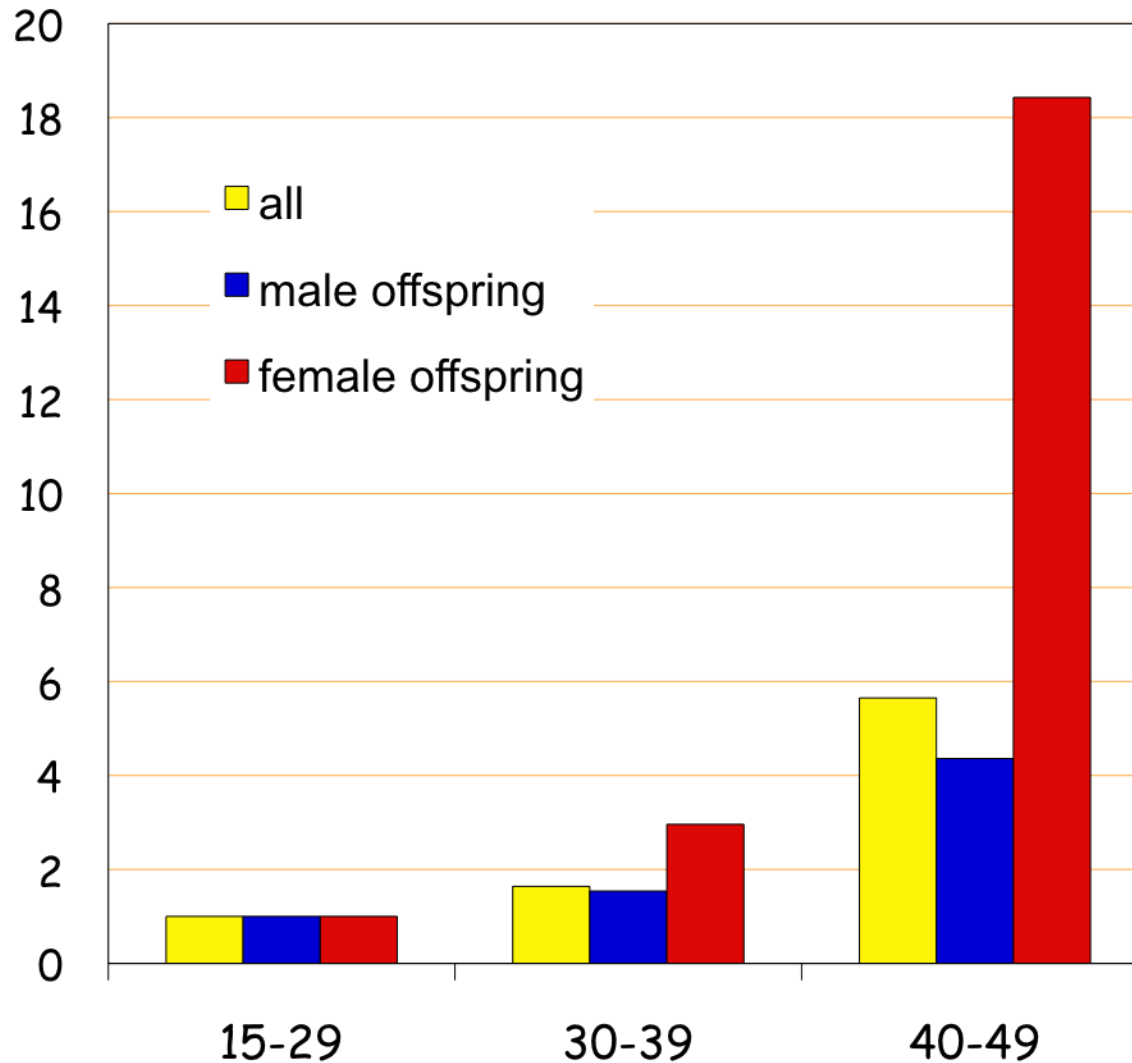


With later paternal age:

- lower nonverbal IQ
- no effect on verbal IQ

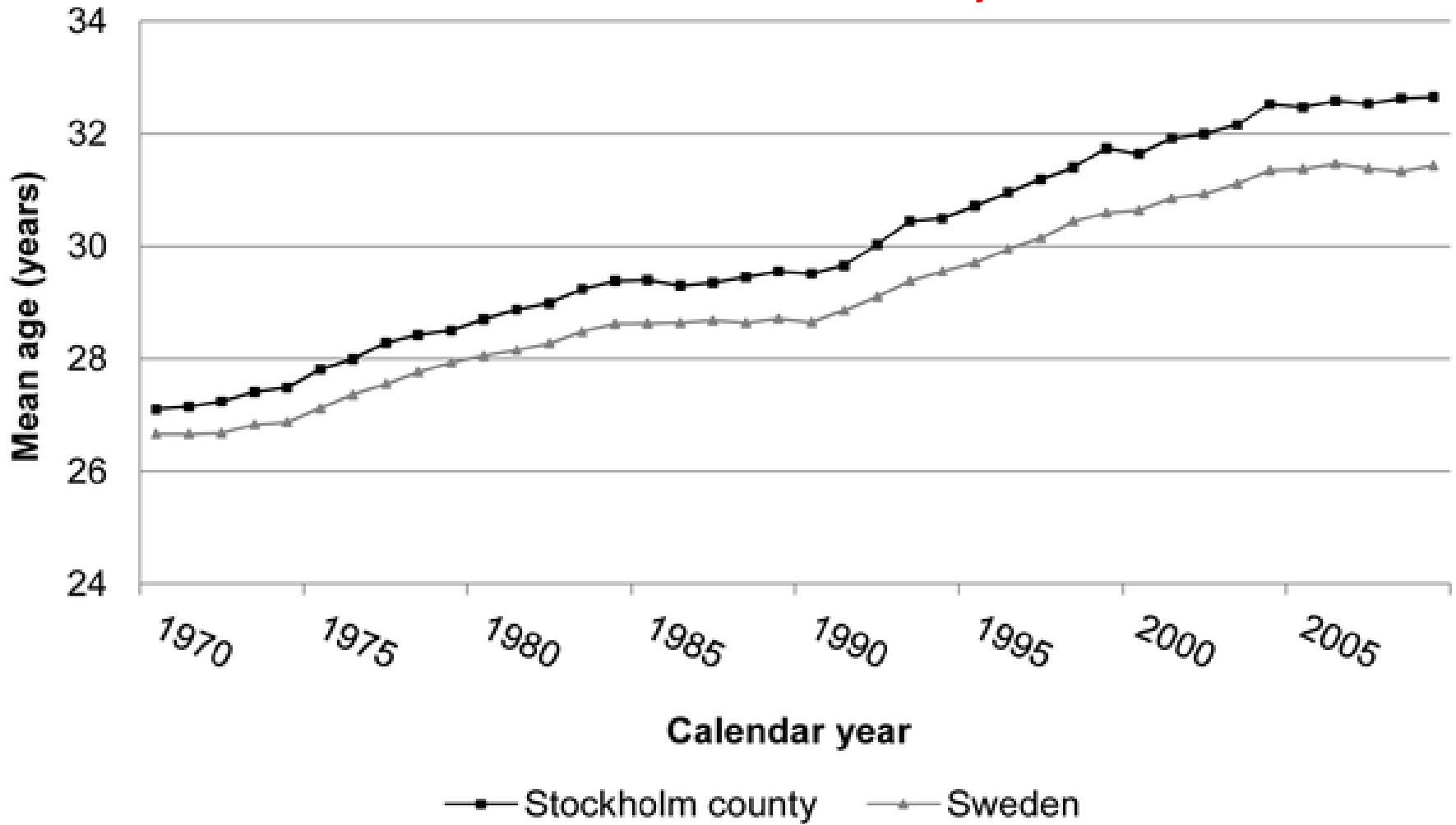
# Paternal age was related to autism and explained in the increased risk for autism in Israel

*RR*





# Trends in paternal mean age at first child birth in Sweden and Stockholm County, 1970–2009

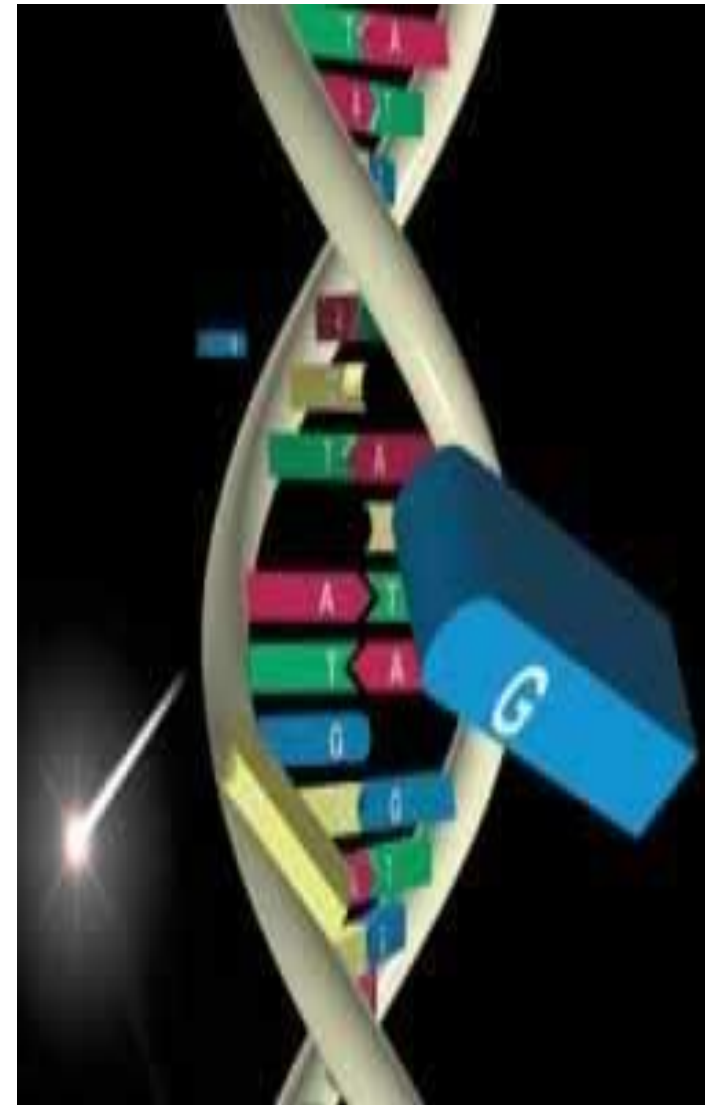


Svensson AC, Abel K, Dalman C, Magnusson C (2011) Implications of Advancing Paternal Age: Does It Affect Offspring School Performance?. PLoS ONE 6(9): e24771. doi:10.1371/journal.pone.0024771

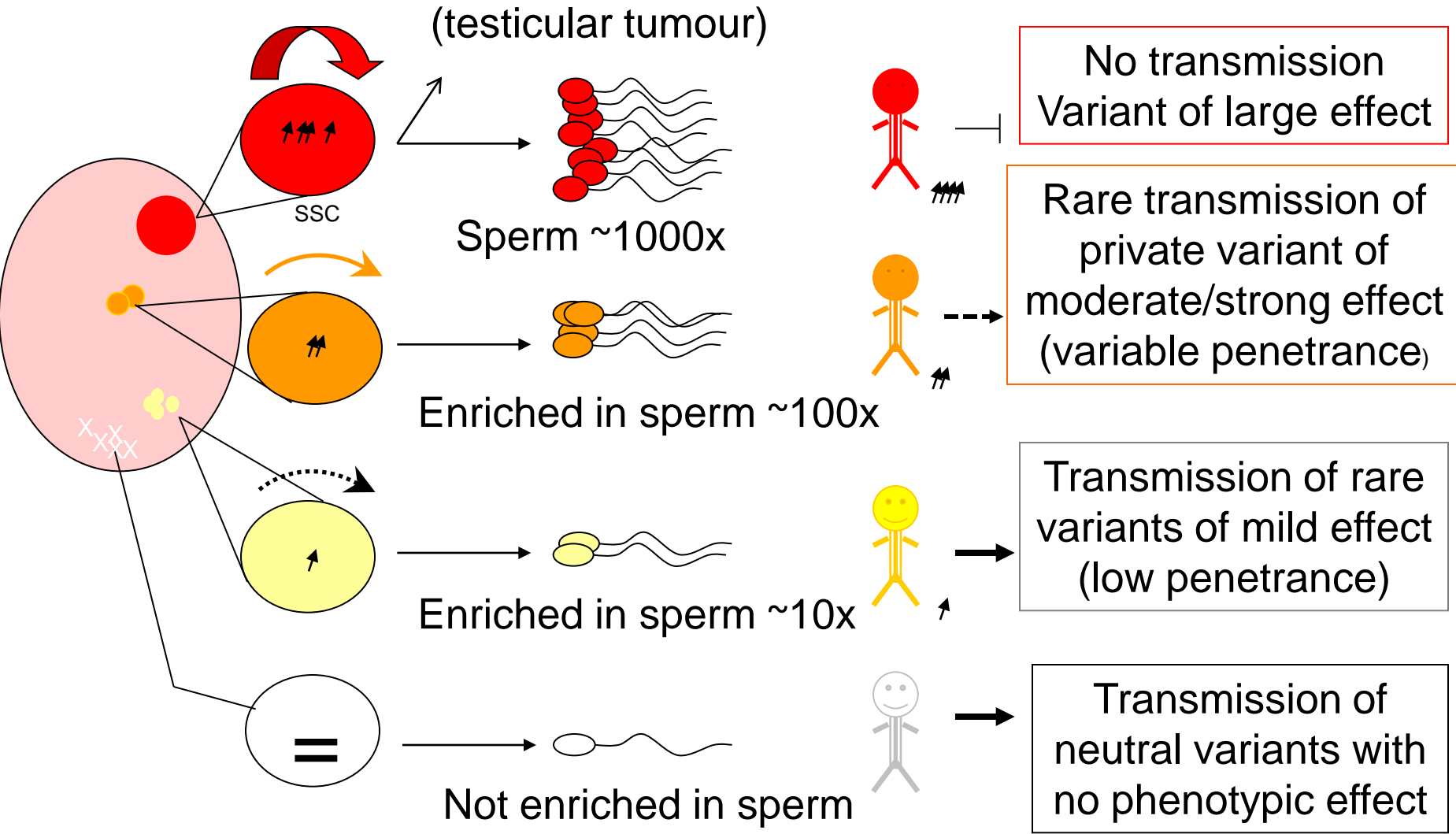
<http://www.plosone.org/article/info:doi/10.1371/journal.pone.0024771>

# Paternal exposures could increase point mutations in spermatozoa

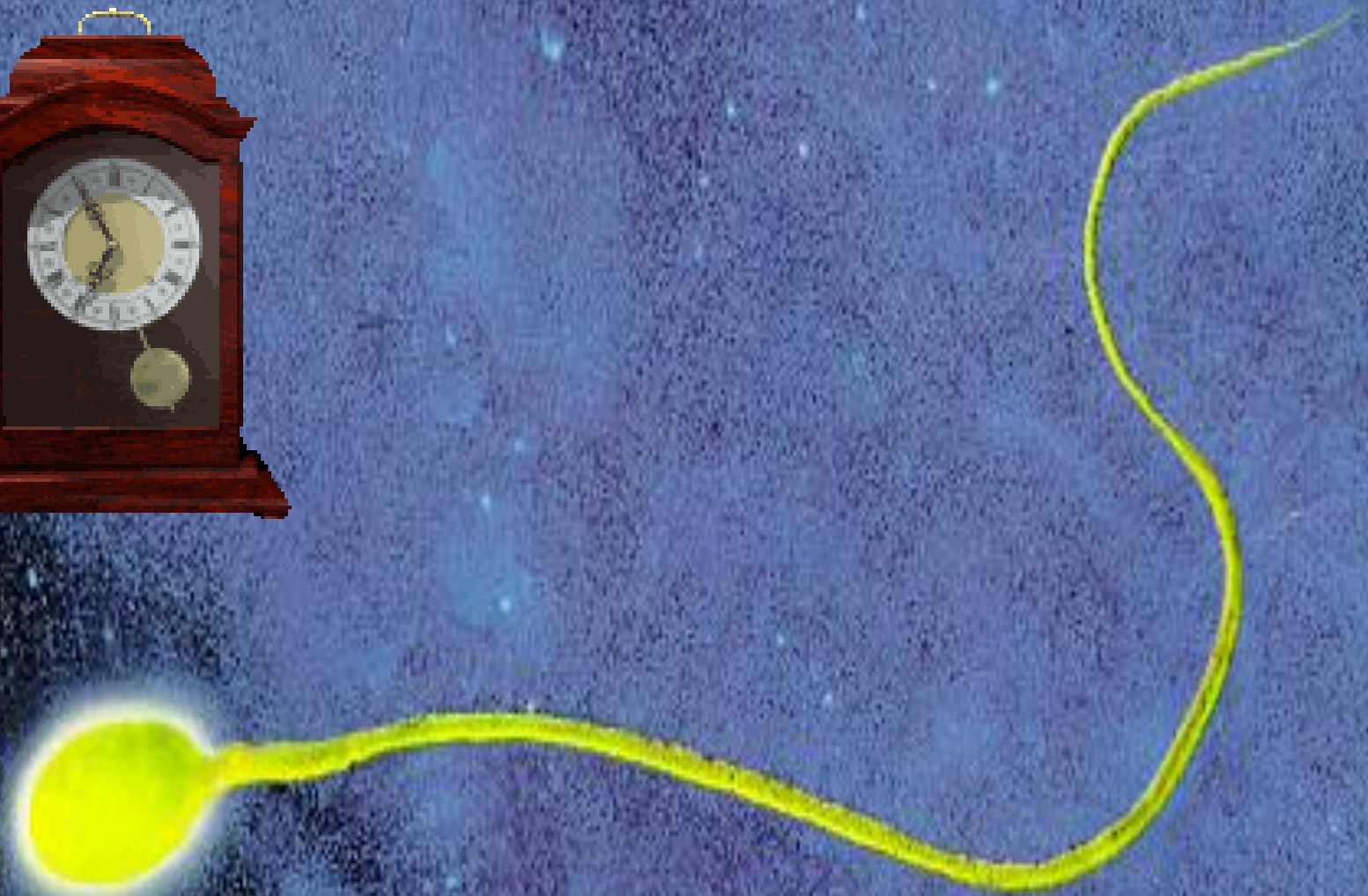
Ionizing radiation exposure  
Free radicals and oxidative stress  
Decreased DNA repair enzymes  
Arteriosclerosis  
Nutritional deficiencies  
Smoking, Alcohol, Narcotics  
Lead, cadmium, anesthetic gas  
Agent orange ? , estrogen ?  
Hyperthermia



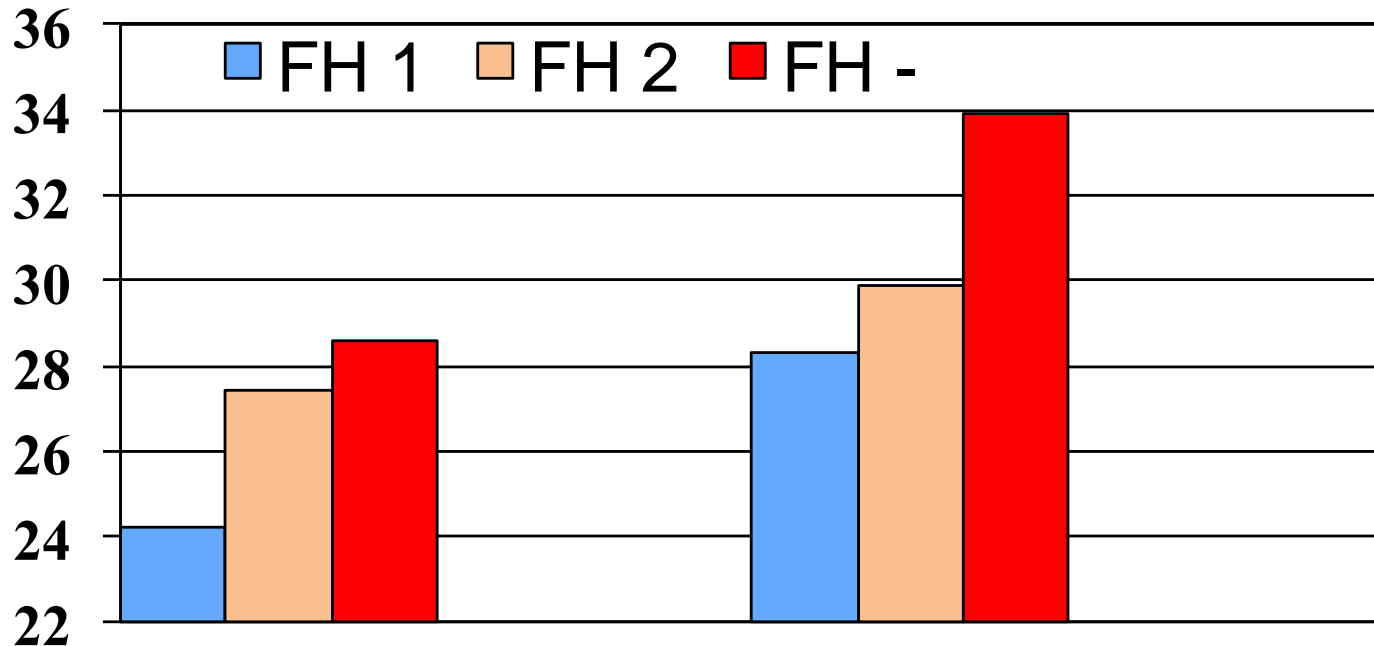
Mutation → selection → reproduction → germline transmission



Accumulation of private neutral variations + pathogenic variants with mild effects occurs over several generations through selection



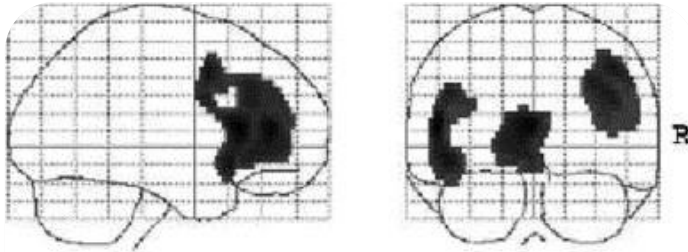
# Parents of cases with a family history were actually younger than sporadic cases



<b><u>Mother</u> 1<sup>st</sup> degree (16)</b>	<b>24.3 (5.1)</b>	<b><u>Father</u> 1<sup>st</sup> degree (16)</b>	<b>28.3 (5.5)</b>
<b>2<sup>nd</sup> degree (16)</b>	<b>27.4 (7.3)</b>	<b>2<sup>nd</sup> degree (16)</b>	<b>29.9 (7.3)</b>
<b>none (64)</b>	<b>28.6 (6.1)</b>	<b>none (64)</b>	<b>33.9 (8.5)</b>

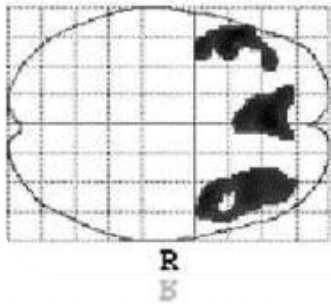
ANOVA maternal & paternal ages by group:  $F=3.15, p=.047; F=3.87, p=.02$ .

# Regions of decreased perfusion



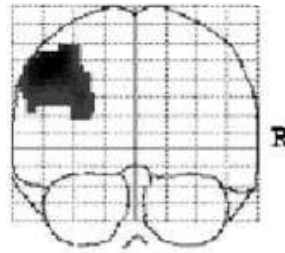
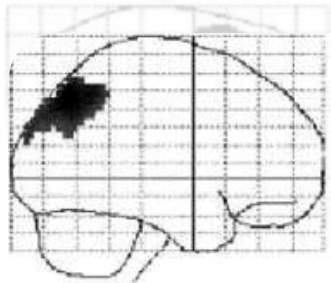
## Sporadic Schizophrenia

Middle, inferior, orbital PFC  
anterior cingulate and insula  
paracingulate cortices



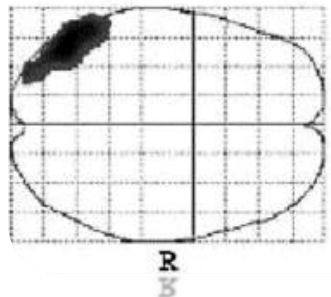
## Familial Schizophrenia

Superior & inferior parietal lobule,  
gyri of the middle & superior  
temporal lobe.

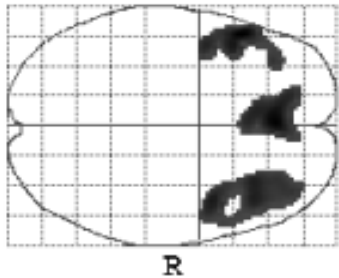
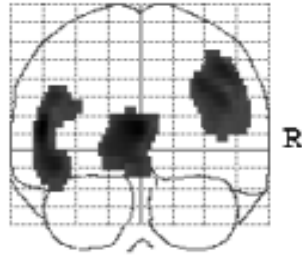
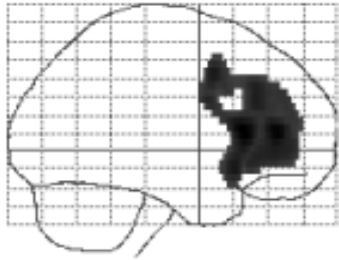


### **COMPARING GROUPS:**

*Sporadic cases had lower rCBF in left anterior cingulate and medial frontal gyrus than familial cases.*



# For PARS vs. healthy controls



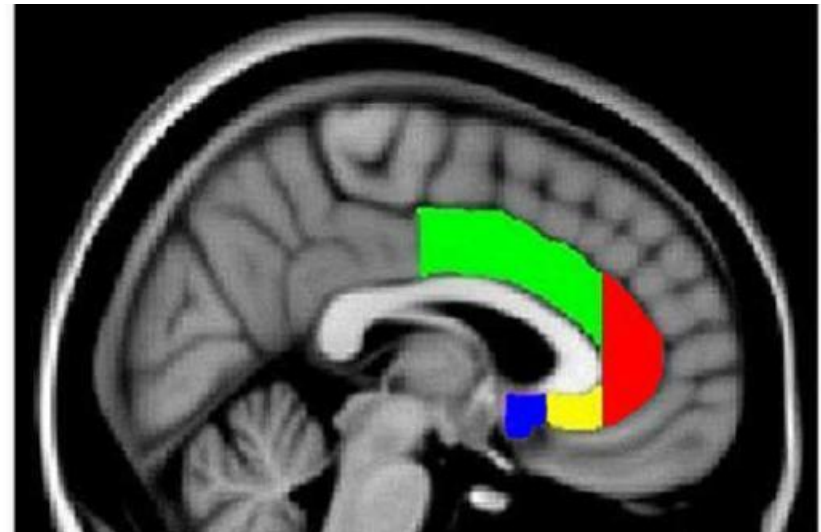
Decreased rCBF:  
ACC, middle,  
inferior, and frontal  
gyri, and medial  
frontal and insula.

**Malaspina et al 2005**

## MRS: SZ cases vs controls

Deficit in rostral concentrations of neurons in rostral vs caudal Anterior Cingulate Cortex.

- 68% sensitive and 91% specific for cases vs controls.



Subregions of the ACC.

Red: rostral

Green: caudal

**Hardy et al 2011**

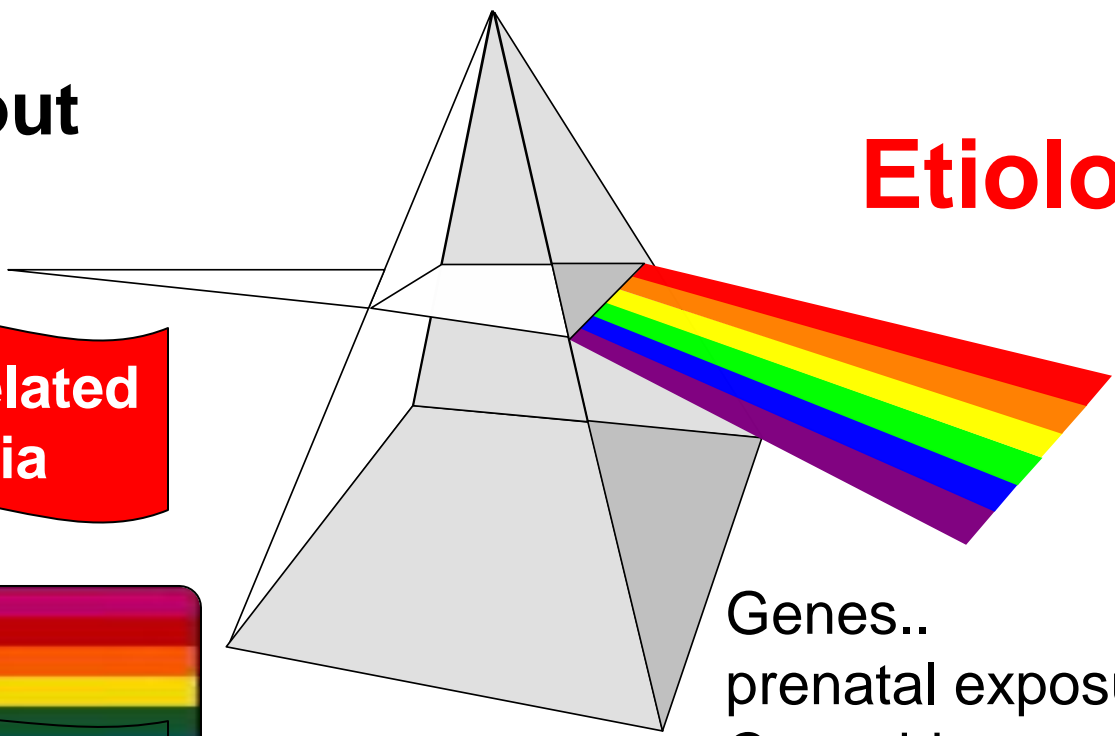
# Chronic Psychosis: Syndrome with many causes

## Separating out Subtypes

Paternal Age Related  
Schizophrenia

Other Schizophrenia

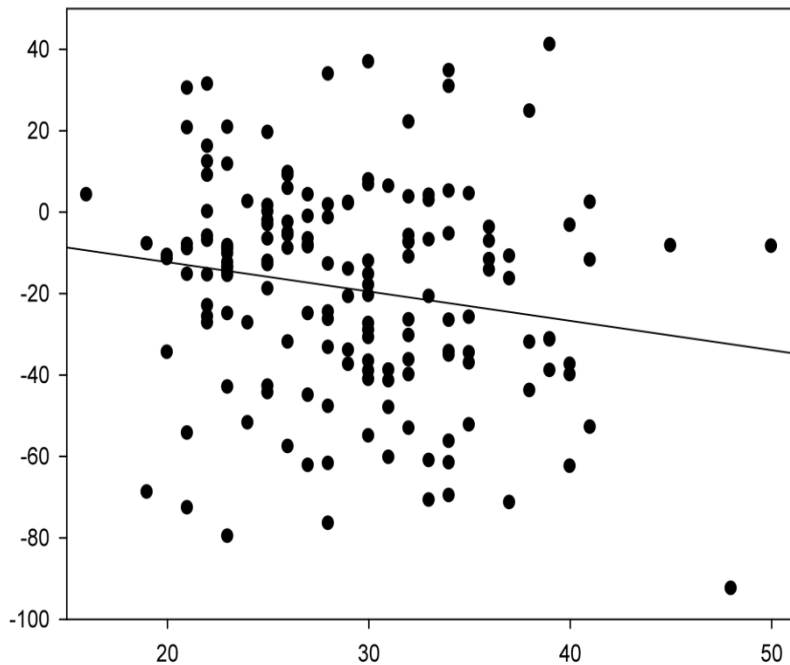
## Etiologies



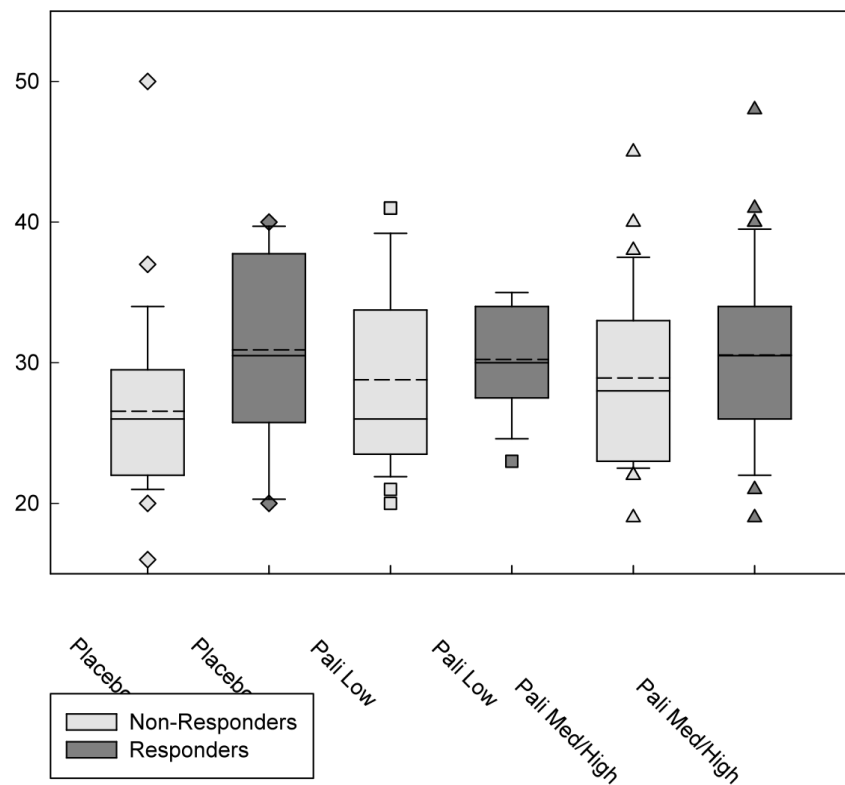
Genes..  
prenatal exposures..  
Cannabis...  
Traumatic brain injury..  
obstetric complications..  
Stress sensitivity  
stress..  
infectious agents...



# Advancing paternal age predicts better treatment response in 200 adolescents with schizophrenia



Intent to treat analysis  
 $R = -0.17$ ;  $p = 0.02$ ;  $n = 160$



Older paternal age for responders ( $\geq 20\%$  symptom reduction) than non-responders

# The findings support the idea that paternal age Is an etiology for a specific type of schizophrenia

Fathers age was related to treatment response in psychotic adolescents, but not to onset age or initial symptom severity.

This study provide evidence of differential treatment response in association with etiology in adolescents with older parents.

The data supports the hypothesis that parental ages produce a subtype in the offspring that is more treatment responsive.

Paternal age was significantly correlated to improvement in positive symptoms and maternal age significantly related to negative symptoms

Overall correlations were small, but significant, and have the potential to impact treatment effects when applied to larger populations and longer treatment durations.

In the Jerusalem Cohort:

Half of the cases without a family history had a mutation in a gene that was not present in their mother or father. (Malaspina unpublished)



Do paternal life-course exposures play a role?

# Telomere Lengths

Telomeres are the protective caps at the end of chromosomes.

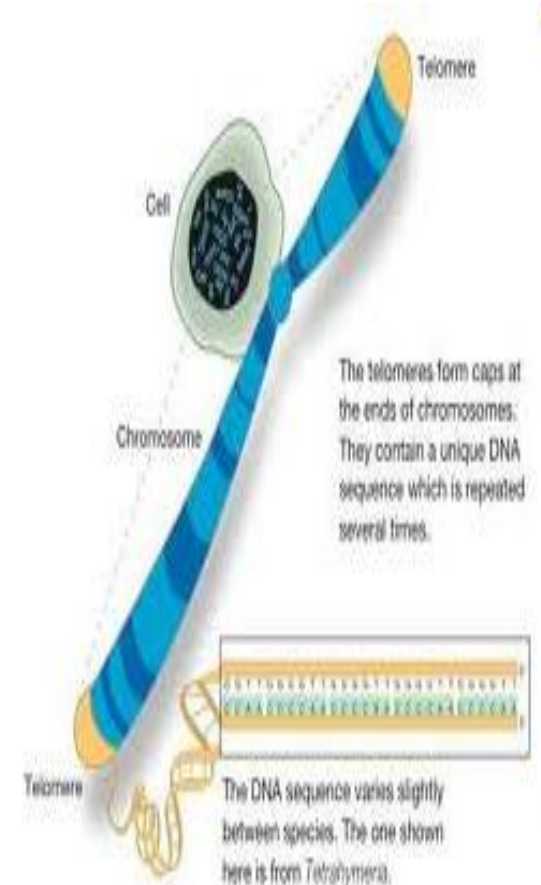
Telomere length determines the number of cell divisions a stem cell can undergo.

Shortening of telomeres → activates cell death. Risk for cardiovascular disease.

Cancer cells have this enzyme → too long telomeres increase cancer risk

Telomeres are usually lengthened with later paternal age.

Are telomeres longer or shorter in schizophrenia?



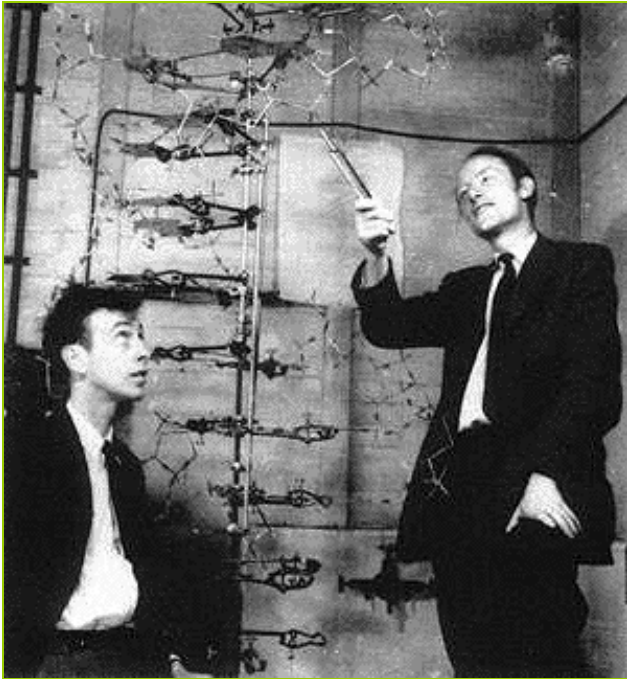
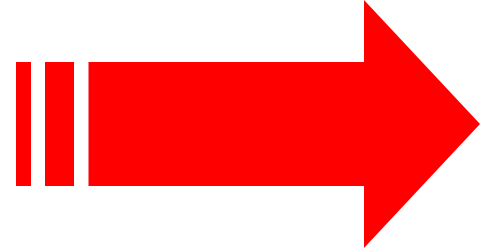
# Copy Number Variation

CNV:

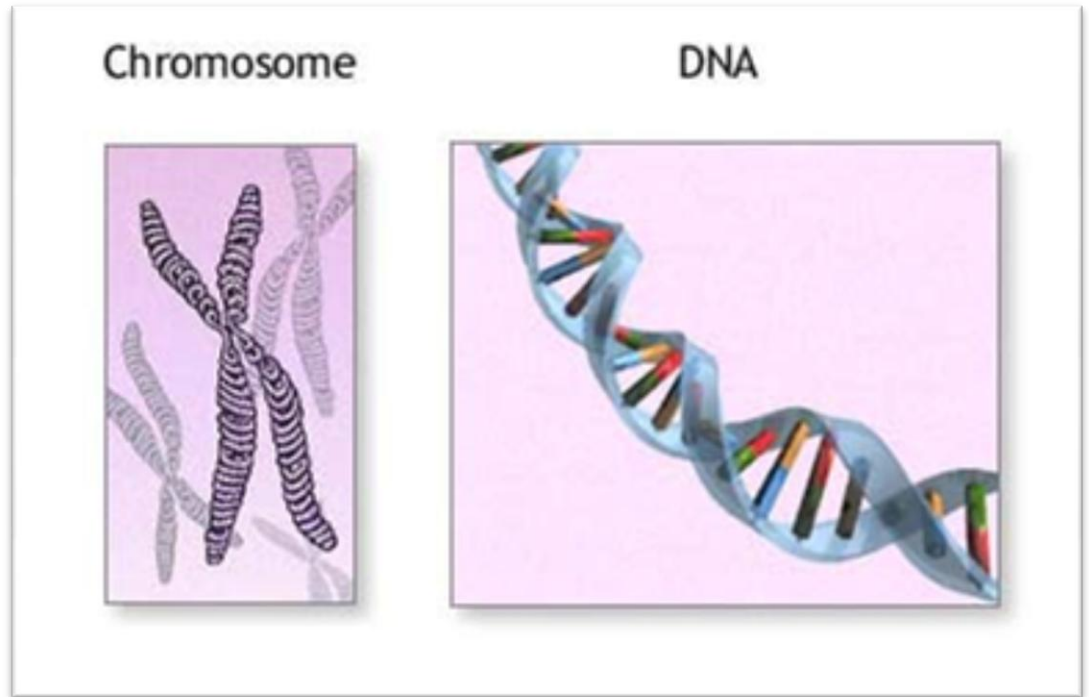
variation in number of copies from the normal two copies of each gene, or of large sequences of DNA, increased in the genome of individuals with psychiatric diseases?



# Modern Genetics



Francis Crick shows James Watson the model of DNA.



Rosalind Franklin used X-ray crystallography to study DNA structure



**DNA (gene)**

*Transcription*

*RNA processing (splicing etc)*

**mRNA**

*Translation*

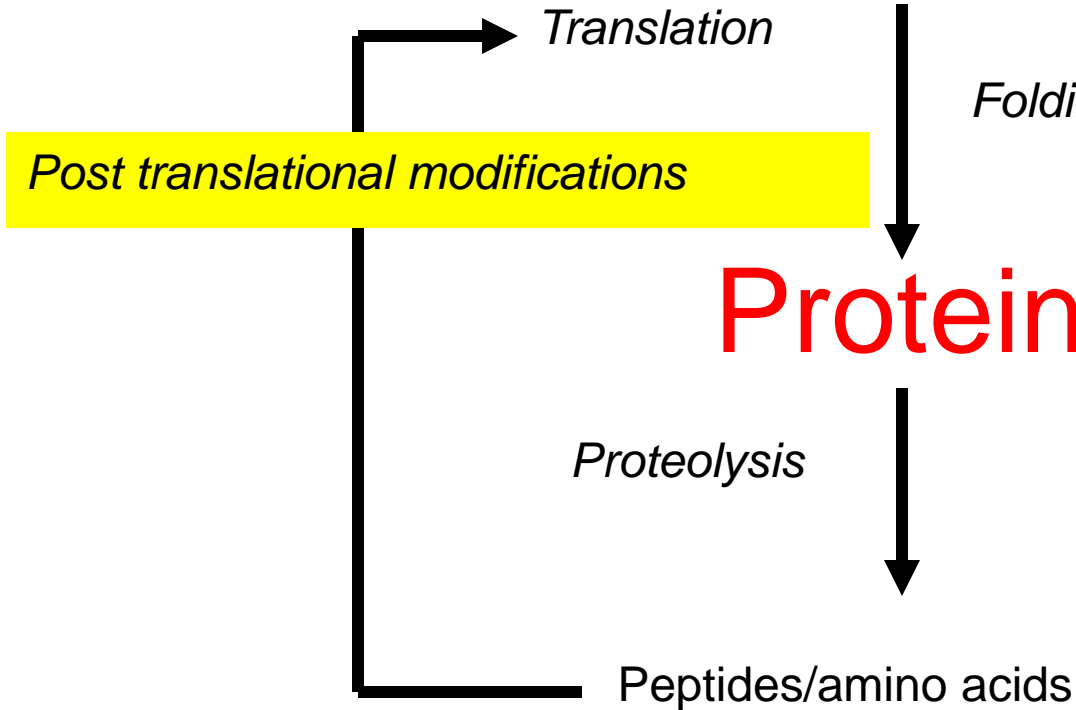
*Folding*

*Post translational modifications*

**Protein**

*Proteolysis*

Peptides/amino acids



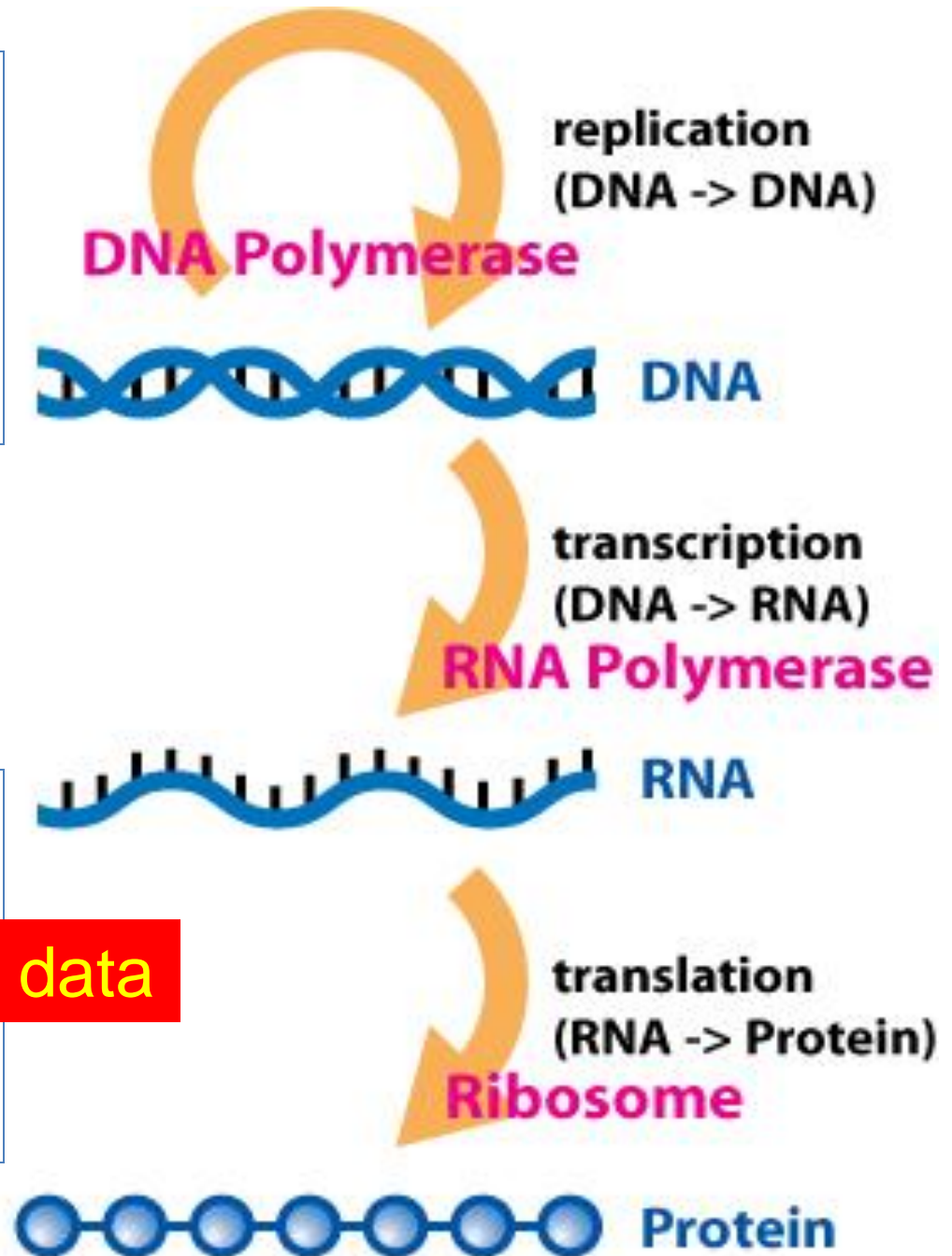
Central dogma of  
molecular biology

DNA → RNA → protein

Central dogma of  
molecular biology

No longer explains the data

DNA → RNA → protein



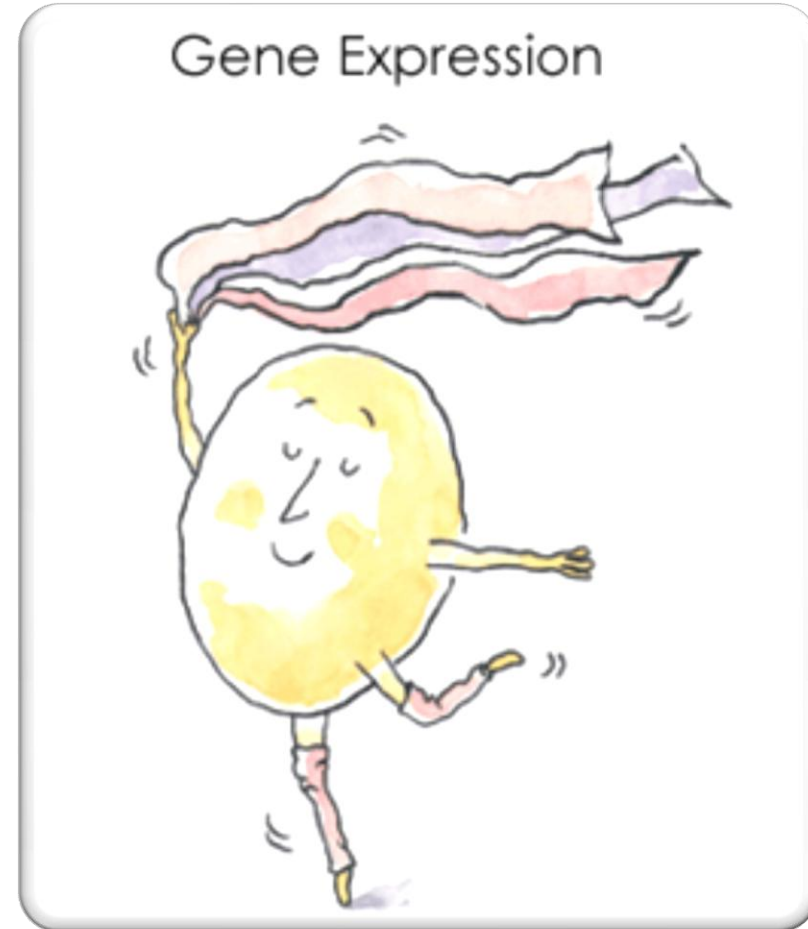


# Epigenetics

**Epigenetic mechanisms control gene expression without altering the DNA sequence.**

***Like DNA sequence*, epigenetic factors are critically important for cell functioning and some can be inherited.**

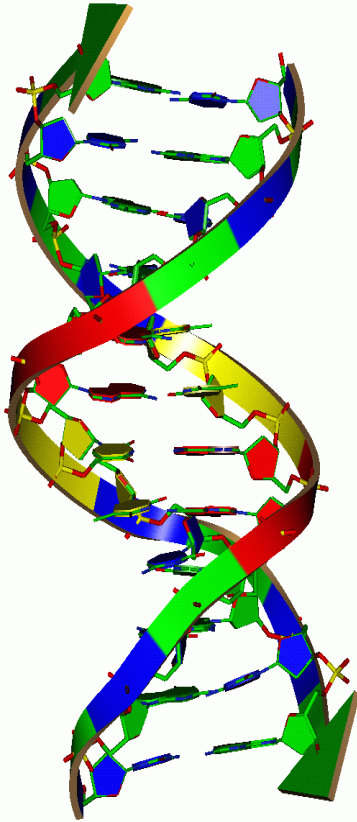
***Unlike DNA sequence*, epigenetic mechanisms can change during development, and some can derive from environmental exposures of the fetus, parents, and grandparents.**



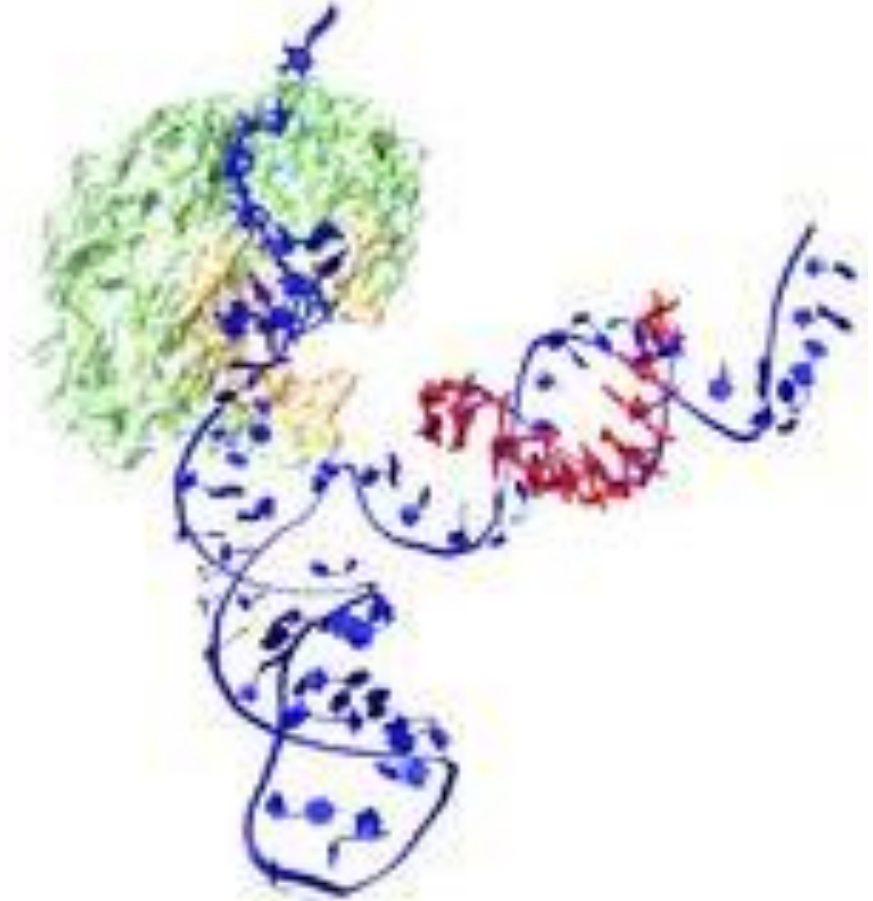
Genetics



Epigenetics



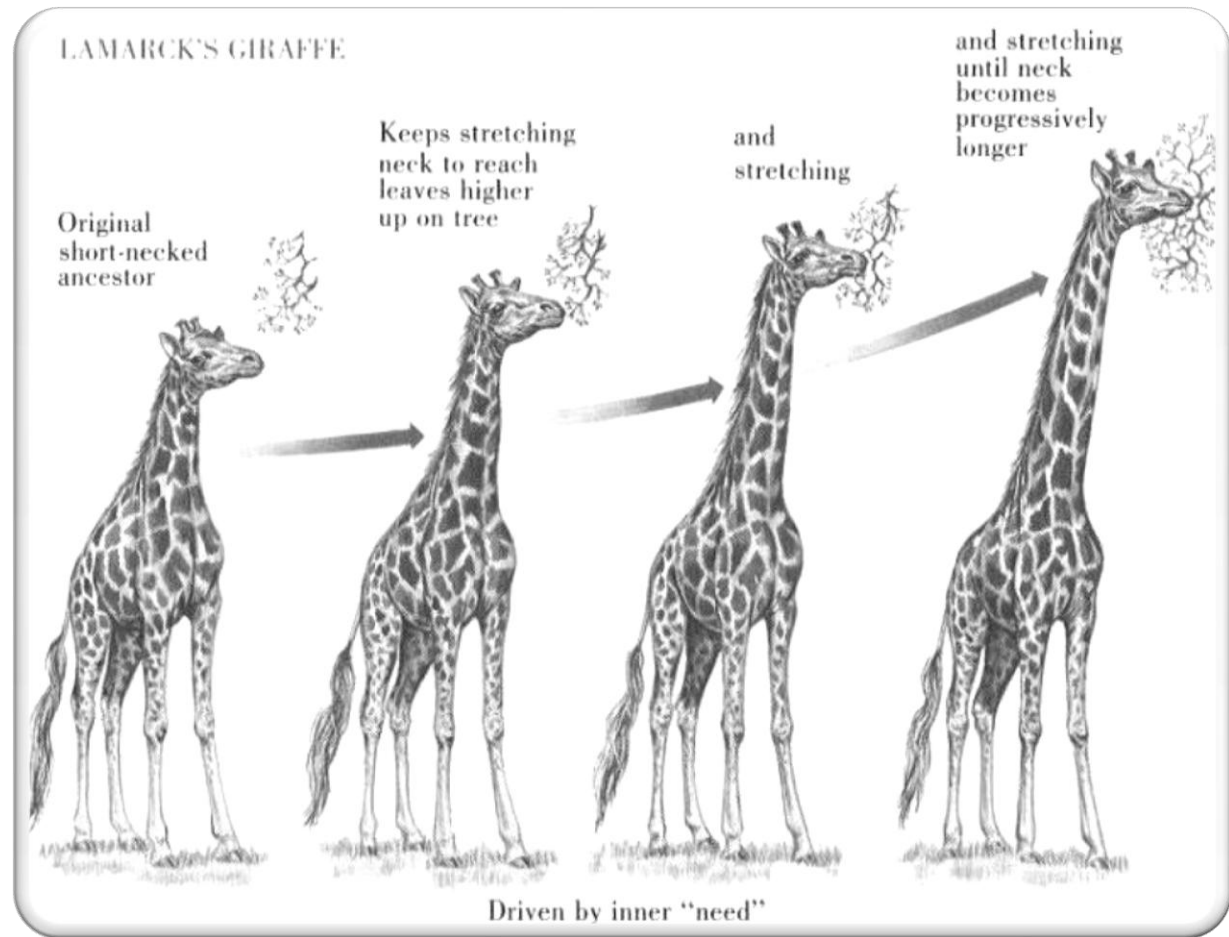
1953



2013

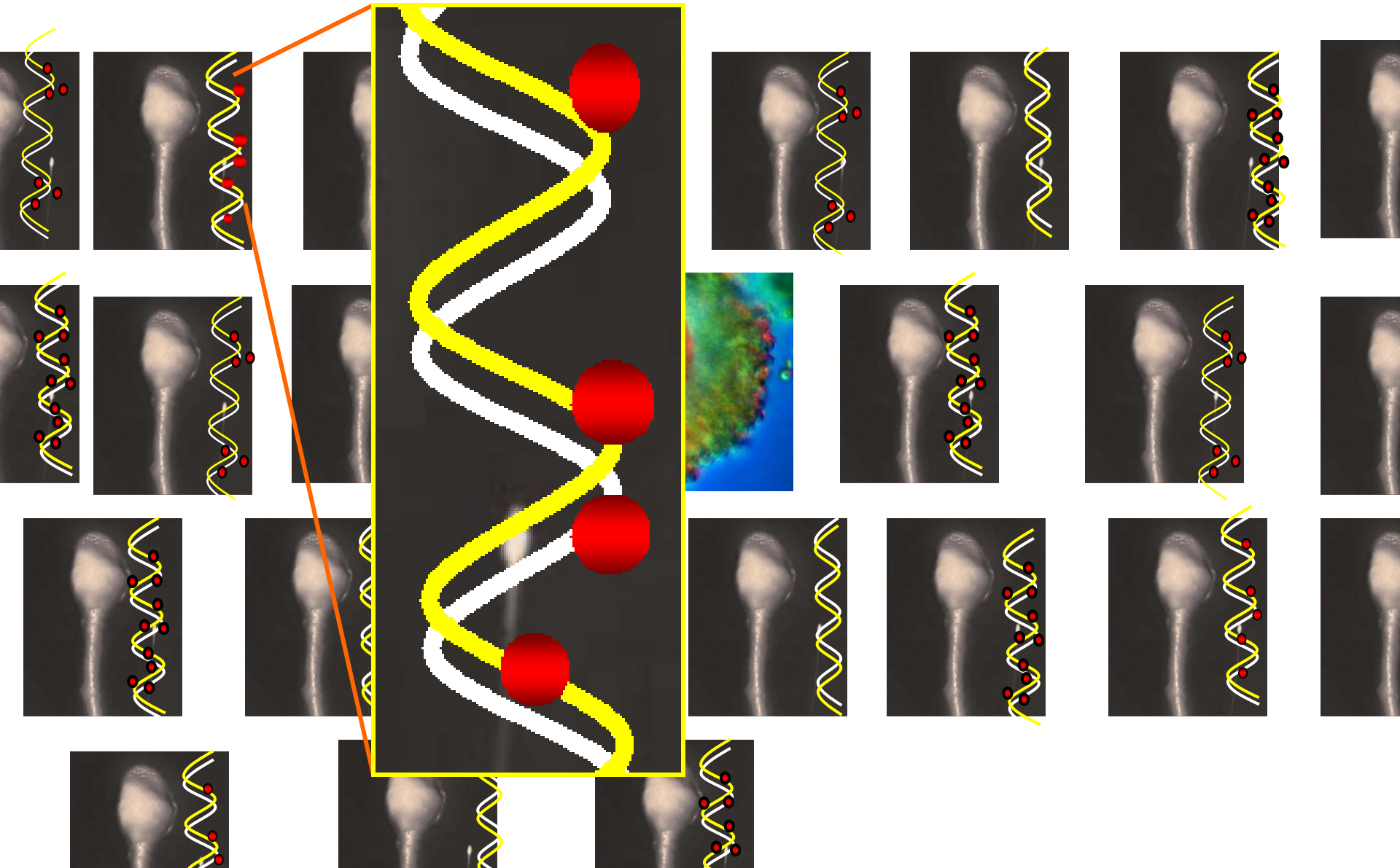
# The Inheritance Of Acquired Traits

Jean-Baptiste  
Lamarck  
(1744–1829)



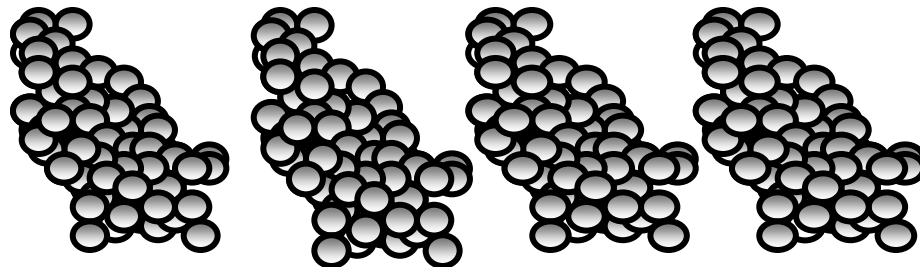
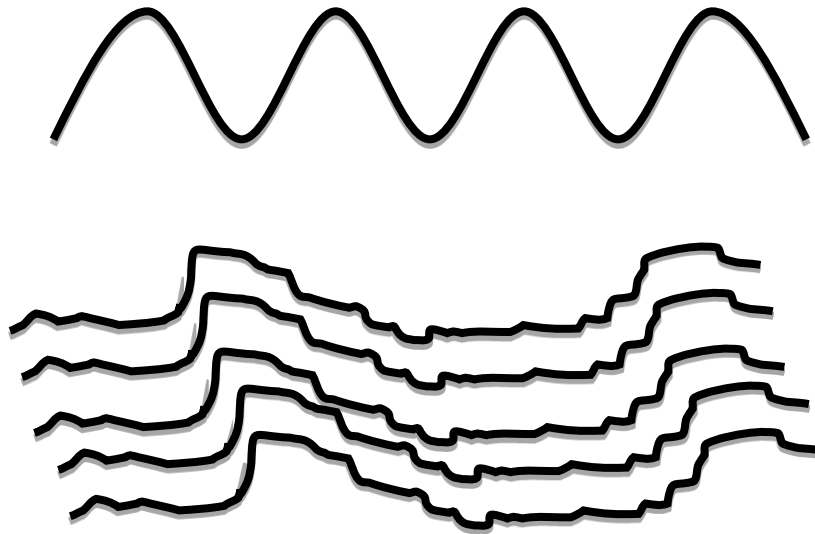
Darwin's *Origin of Species* did propose natural selection as the main evolutionary mechanism, but did not exclude a type of Lamarckism as well.

# Epigenetic mechanisms can be inherited along with DNA sequences

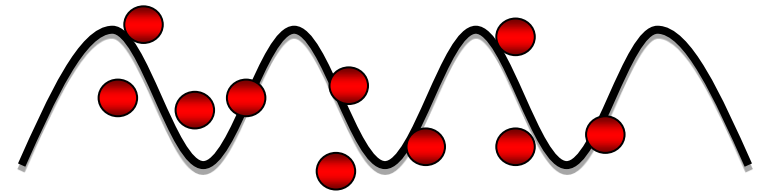


# DNA methylation can decrease transcription

*Scenario 'A'*



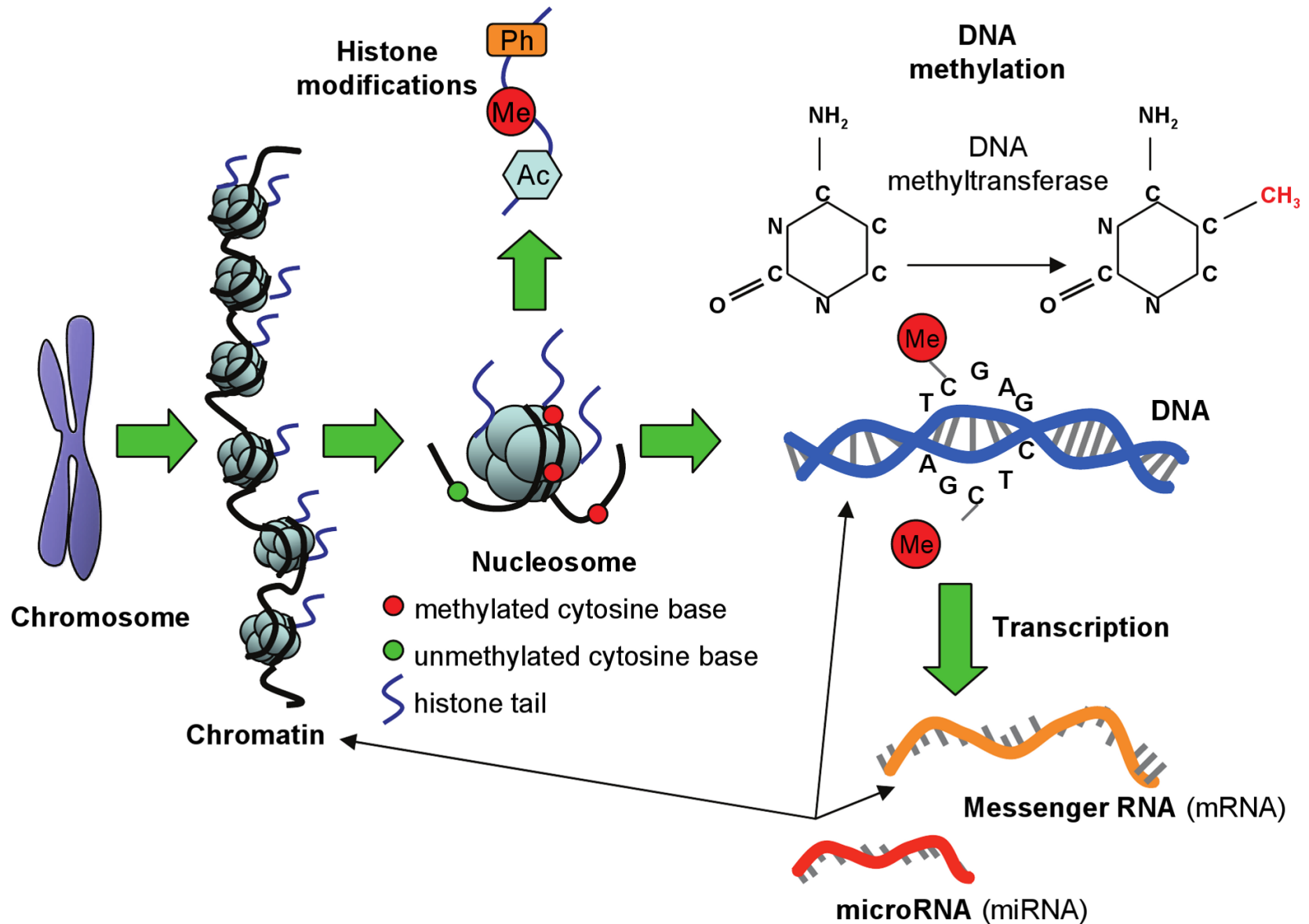
*Scenario 'B' with methylation*



*No expression*

*No protein*

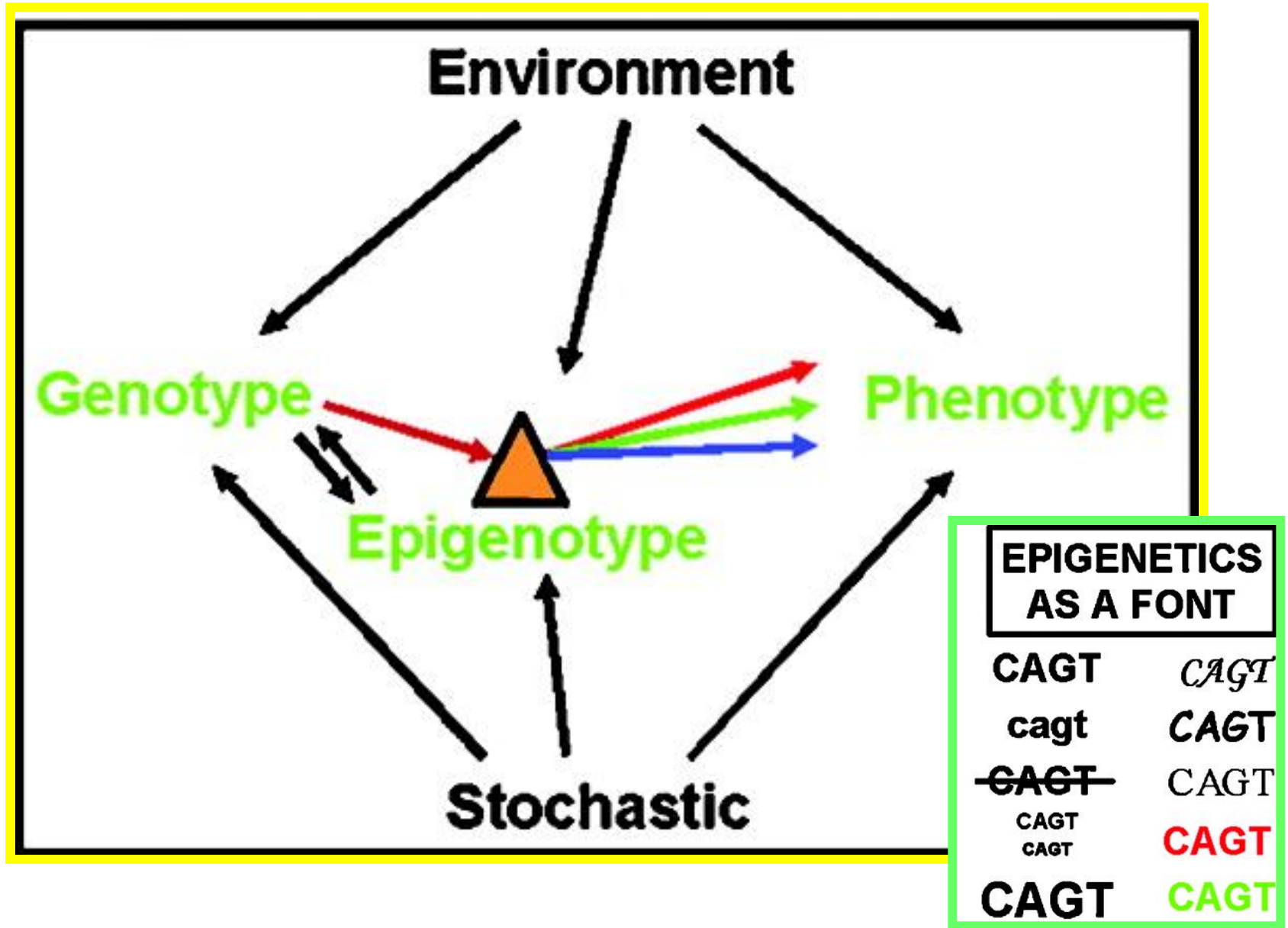
# A number of epigenetic mechanisms are being discovered that control gene expression



*Well, that clears it up!*

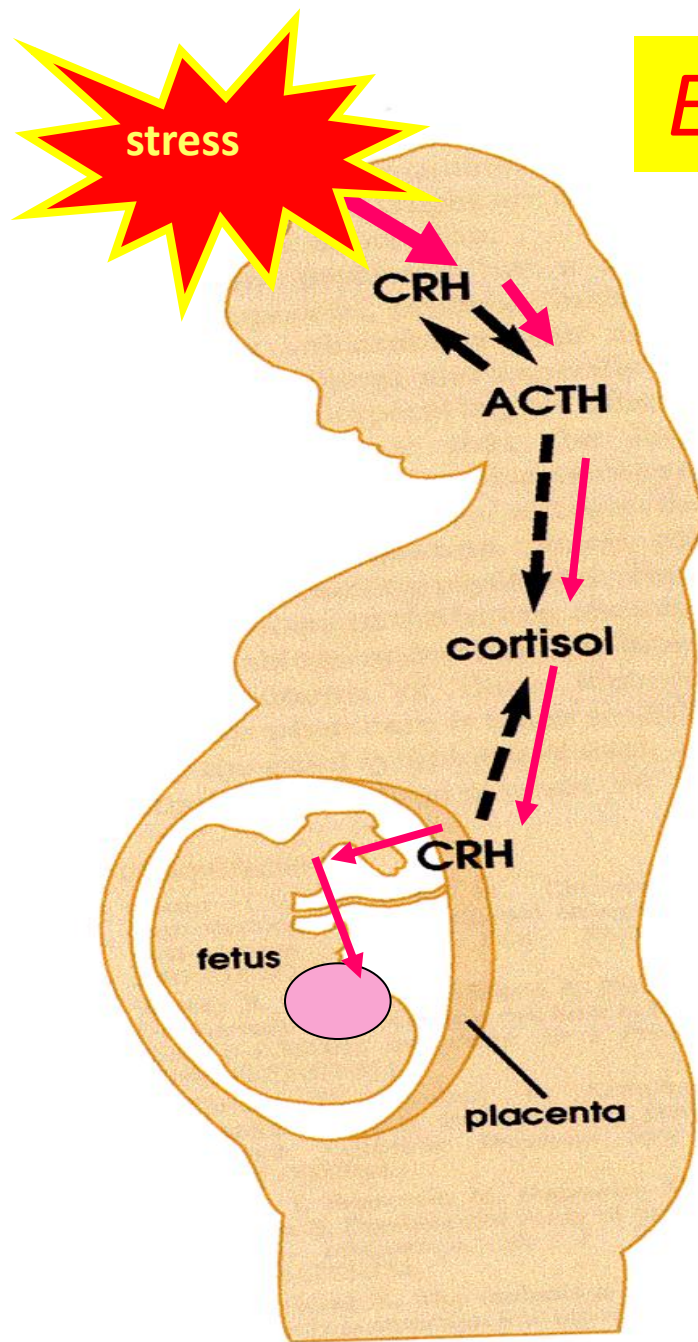


# Epigenetic code





# *Epigenetic Influences*



Fetal  
Programming by  
Prenatal Stress

The fetus does  
not develop  
from a blueprint  
of its DNA

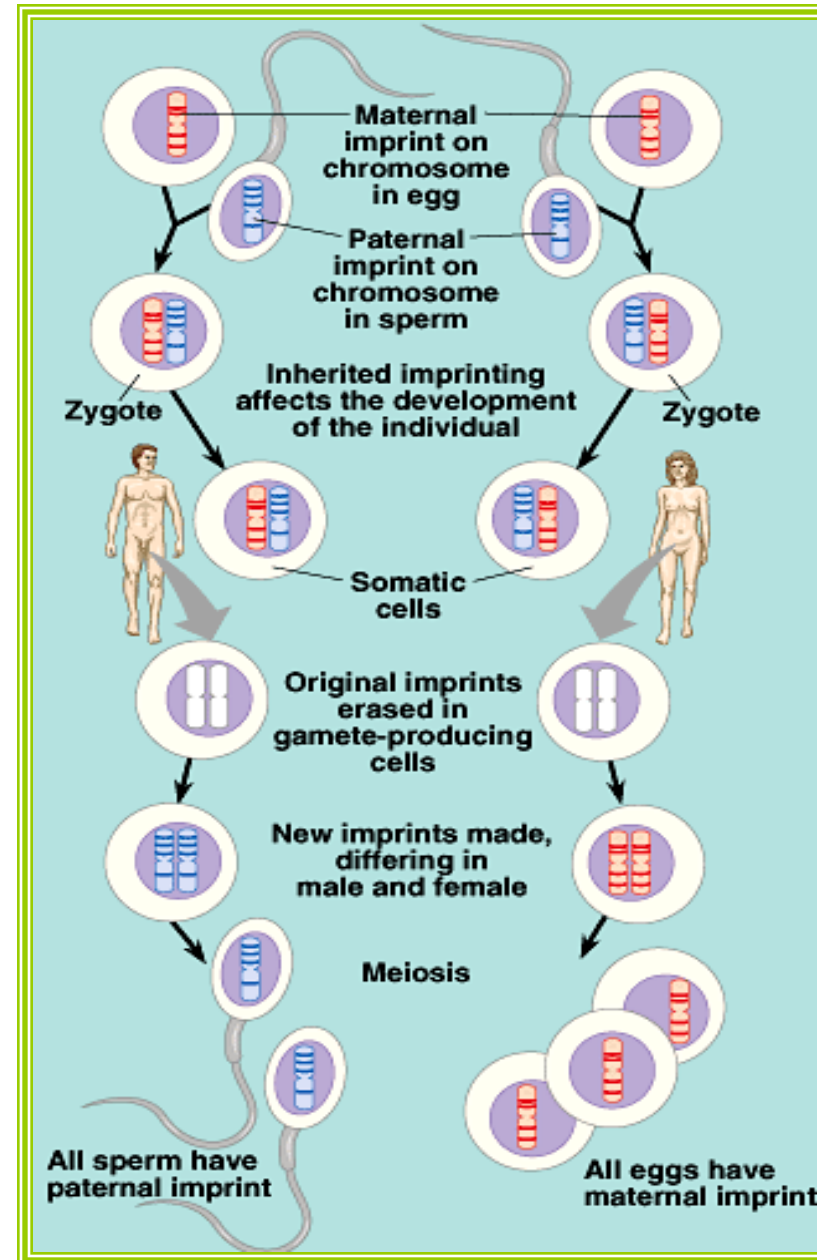
# Genomic Imprinting: Epigenetics

The expression of imprinted genes is based on the sex of the parent of origin

Maternal and paternal genomes are not functionally equivalent; both required for development.

Imprinted genes are differentially silenced in the germ cells based on parental sex.

Paternal alleles essential for extraembryonic tissues and for fetal growth and behavior.



# Genomic imprinting

## Maternal alleles:

Many curb fetal growth, thereby permitting maternal health and resources for multiple offspring. (e.g. *Igf2R*, *Mash2*, *Gnas*)

## Paternal alleles:

Usually favor offspring growth, daughters social behavior and nurture. The placenta has numerous paternally expressed genes (e.g. *Igf2*, *Peg3*) that promote fetal growth and nutrient uptake, even to the detriment of mother.

# Genomic imprinting

Genetic mechanism for resolving conflict between different parental requirements for reproductive success?



*The exception that proves the rule:*

*Liger* - cross breeding a female Tiger to a male Lion.

*Tigon* - cross breeding a female Lion to a male Tiger.



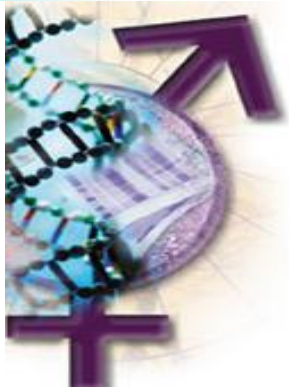
# The Life Long Plastic Brain

Parent's Germ Cells

Fetal Programming  
Prenatal Exposures

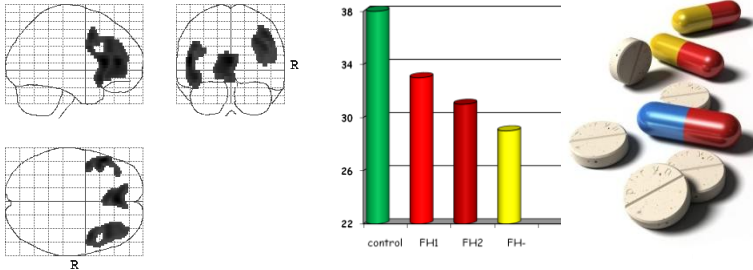
Risk effects of trauma,  
substance abuse, injury...  
Protective effects of nurture, education,

Parents germ cells fetal Development Childhood Adolescence Adulthood

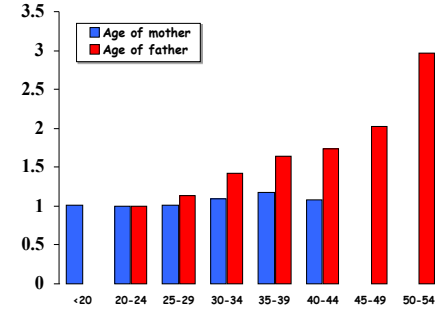
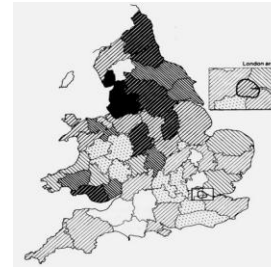


# Translational Psychiatry

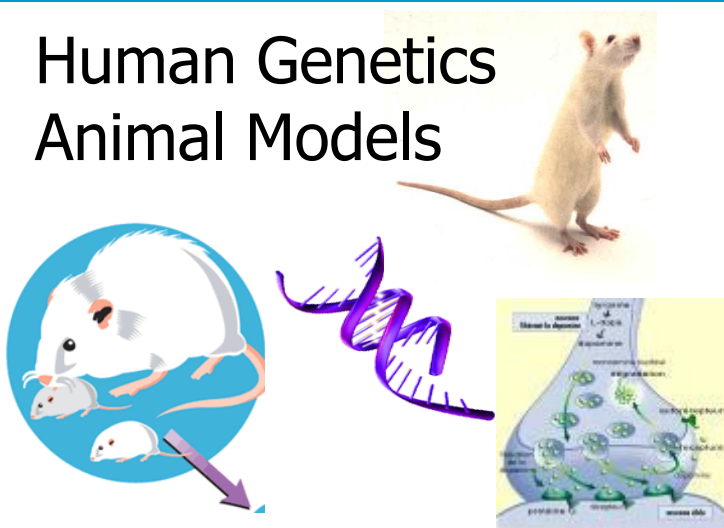
## Clinical Research



## Epidemiology



## Human Genetics Animal Models



“It Seems the Fertility Clock Ticks for Men, Too”



**The New York Times**

**February 27, 2007**

# Social and Psychiatric Initiatives

In**SPiRES**



***Dedicated to the Critical Ability to Connect***

***[www.InspiresConnects.org](http://www.InspiresConnects.org)***